Transcatheter mitral valve repair: an overview of current and future devices

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ABSTRACT

The field of transcatheter mitral valve repair (TMVR) for mitral regurgitation (MR) is rapidly evolving. Besides the well-established transcatheter mitral edge-to-edge repair approach, there is also growing evidence for therapeutic strategies targeting the mitral annulus and mitral valve chordae. A patient-tailored approach, careful patient selection and an experienced interventional team is crucial in order to optimise procedural and clinical outcomes. With further data from ongoing clinical trials to be expected, consensus in the Heart Team is needed to address these complexities and determine the most appropriate TMVR therapy, either single or combined, for patients with severe MR.

INTRODUCTION

Mitril regurgitation (MR) contributes to significant morbidity and mortality. In degenerative MR, medical treatment may be insufficient and cardiac surgery has traditionally been the only effective option. The management of functional MR is more complex and controversial. Functional MR is the result of myocardial disease and treatment is directed at the underlying cardiomyopathy. Guideline-directed medical therapy (GDMT) and cardiac resynchronisation therapy (CRT) are the cornerstone of treatment, with a focus on the reversal of adverse left ventricular (LV) remodelling. Surgical intervention for functional MR has yielded no difference in clinical outcomes, with high rates of recurrent MR.

The advent of transcatheter mitral valve repair (TMVR) therapies has provided feasible and safe alternatives to medical and surgical treatment—especially for those patients who are not considered suitable candidates for conventional mitral valve surgery. Transcatheter mitral edge-to-edge repair has been the most successful and adopted TMVR therapy to date; with some important new device iterations and improvements in 2020. Besides mitral edge-to-edge repair, there is also growing evidence for therapeutic strategies targeting the mitral annulus and mitral valve chordae (box 1). This review article aims at providing a comprehensive overview of all TMVR devices in clinical use and preclinical evaluation at the end of 2020.

Transcatheter mitral annuloplasty

The purpose of surgical and transcatheter mitral annuloplasty is to reduce the annular circumference and obtain better coaptation of the mitral leaflets. Mitral annuloplasty techniques using transcatheter approaches can be classified as indirect or direct, based on their relation to the mitral annulus.

Transcatheter indirect mitral annuloplasty methods are based on the parallel relationship of the coronary sinus (CS) to the mitral annulus; the Carillon Mitral Contour System (Cardiac Dimensions, Washington, DC, USA) received CE mark approval and the ARTO system (MVRx, California, USA) was studied in the Mitral Valve Repair Clinical (MAVERIC) CE Mark trial. Direct mitral annuloplasty eliminates some of the limitations of the CS approach but represents a technically more challenging and complex procedural approach. Two systems that come the closest to a surgical mitral annuloplasty are the Cardioband Mitral System (Edwards Lifesciences, California, USA) and the Millipede Mitral Annuloplasty System (Boston Scientific, Massachusetts, USA). Both systems use a transseptal approach with a steerable guide catheter.

Despite the fact that some of these transcatheter mitral annuloplasty technologies were already developed and received approval several years ago, none of these technologies have seen the same diffusion or adoption in daily clinical practice as the MitraClip system (Abbott, Illinois, USA). Not only engineering and procedural challenges but also mixed study results have complicated and impacted the adoption of these technologies in current practice. Also considering the high recurrence rates of functional MR after surgical mitral annuloplasty, future studies will have to...
investigate whether transcatheter mitral annuloplasty will provide sustained echocardiographic and clinical effects or whether these techniques lend themselves more to combined procedures—for example, transcatheter mitral annuloplasty plus edge-to-edge mitral valve repair. To date, only limited data are available on combined procedures; the high cost of such combined procedures is an item which cannot be ignored.

**Carillon Mitral Contour System**

The Carillon Mitral Contour System obtained CE approval in 2011 and is indicated for use in patients with functional MR who are asymptomatic despite treatment with GDMT and who are presenting with annulus dilatation as primary contributor to the significant MR.

The Carillon Mitral Contour System consists of three components: the sizing catheter, the delivery system and the Carillon device. The sizing catheter is used to estimate the dimensions of the CS and great cardiac vein (GCV), so that an appropriately sized implant can be selected. The delivery system facilitates percutaneous delivery of the implant, engagement of the locking mechanism, and repositioning or recapture of the implant, if necessary. The Carillon XE2 implant is composed of a distal anchor (positioned in the GCV), proximal anchor (positioned in the CS), ribbon connector (joining the anchors), and proximal and distal crimp tubes (figure 1). The implant is designed to be deployed, tensioned and secured in the coronary vein. The MR reduction is immediate and can be modulated during the procedure in the cathlab. The procedure is performed through the jugular venous access and is usually carried out without general anaesthesia.

Limitations of the Carillon System are (1) the distance between the CS and the mitral annulus, which may limit the therapeutic effectiveness; (2) the risk of compression on the left circumflex coronary artery by the distal anchor—although the device can be repositioned or retrieved, if needed and (3) the Carillon System is not recommended in patients with a CRT device or pacing lead in the CS.

In the randomised sham-controlled REDUCE FMR trial enrolling 120 patients, treatment with the Carillon device was shown to significantly reduce MR volumes (−7.1 mL/beat vs +3.5 mL/beat in the sham-control group) and LV volumes in symptomatic patients with functional MR receiving GDMT.1 Recent published 5-year follow-up data also indicate durable functional improvement and favourable 5-year survival rates following treatment with the Carillon System.2 These results supported the CARILLON randomised trial (ClinTrials.Gov: NCT0142152), which is ongoing at 75 sites in Europe and the USA, and is comparing the device to GDMT in 352 patients with functional MR.

**ARTO system**

The ARTO system is a transcatheter indirect mitral annuloplasty device which aims to improve mitral leaflet coaptation and decrease functional MR via a ‘bridge suture’ that connects anchors placed in the GCV and the atrial septum.

Using fluoroscopy, delivery of the ARTO system is accomplished by venous access to the right atrium where two procedural steps are performed: (1) the CS is cannulated from the right jugular vein and a T-bar implant is deployed in the lateral wall via the GCV and (2) an atrial septal implant is deployed through a transseptal puncture. A ‘bridge suture’ between these two anchors provides the means for inward displacement and subsequent reduction of the mitral annular antero-posterior diameter (figure 2). The bridge length is adjusted to achieve optimal MR reduction. Feasibility of MitraClip treatment after ARTO has been shown, despite presence of the bridge.

**Results of the prospective, non-randomised MAVERIC CE Mark trial using the ARTO system in 45 patients with functional MR showed the ARTO system to be safe and effective in decreasing functional MR up to 1-year post-procedure. The primary safety composite endpoint (death, stroke, myocardial infarction, device related surgery, cardiac tamponade, renal failure) at 30 days and 1 year was 4.4% and 17.8%, respectively. The mitral annular antero-posterior diameter decreased from 41.4 mm (baseline) to 36.0 and 35.3 mm at 30 days and 1 year, respectively. Paired results for 36 patients showed that 67% of patients had MR grade 3+/4+ at baseline vs only 14% and 8% of patients at 30 days and 1-year post-procedure. In accordance, 69% of patients had New York Heart Association (NYHA) Class III–IV symptoms at baseline, decreasing significantly to 25% and 22% of patients at 30 days and 1-year post-procedure, respectively.3–6 Larger randomised controlled trials (RCTs) studying the safety and efficacy of the ARTO system are needed.

**Cardioband Mitral System**

The Cardioband Mitral System is a transcatheter, transseptal adjustable direct mitral annuloplasty device which aims to reduce the annular circumference and improve...
Valvular heart disease

mitral leaflet coaptation. The Cardioband implant consists of a polyester sleeve with radiopaque markers at every 8 mm; the sleeve covers the delivery system which deploys the screw anchors. Correct positioning of the first anchor is crucial and multiplanar transesophageal echocardiography (TEE) and 3D-TEE views are necessary to verify correct placement (figure 3). The first anchor is placed lateral and as anterior as possible in the mitral annulus; coronary angiography is performed to rule out damage to the left circumflex coronary artery. The anchors are repeatedly placed along the posterior side at the mitral annulus until the implant catheter tip reaches the last anchoring site at the medial side. A contraction wire following the same path as the sleeve is connected to an adjusting spool. Activating the spool cinches the Cardioband device, thereby reducing the mitral annular diameter. Adequate reduction of MR severity is assessed by TEE under beating heart conditions. The implant is available in different sizes. The Cardioband Mitral System gained CE mark for the treatment of functional MR in 2016. In a single-arm, multicentre prospective study, results obtained in 60 consecutive patients with moderate or severe functional MR treated with the Cardioband System were reported. There were two in-hospital deaths (none device-related), one stroke, two coronary artery complications.

CARILLON Implanted Device Specifications

| Distal anchor sizes          | Length 60mm: 7-12mm, available in 1mm increments |
|                            | Length 70mm: 13-14mm, available in 1mm increments |
|                            | Length 80mm: 7-14mm, available in 1mm increments |
| Proximal anchor sizes       | Length 60mm: 16, 18, 20mm |
|                            | Length 70/80mm: 18, 20mm |
| Material                   | Nitinol, Titanium |

Figure 1 Carillon Mitral Contour System. (A) Components of the Carillon implanted device—the distal and proximal anchor are implanted in the great cardiac vein (GCV) and coronary sinus (CS), respectively. (B) Components of the Carillon Handle Assembly. (C and D) The implant is designed to be deployed, tensioned and secured in the coronary vein; the reduction of the mitral regurgitation is immediate and can be modulated during the procedure. Images courtesy of and provided by Cardiac Dimensions.
Anchor disengagement, observed in 10 patients, resulted in device inefficacy in 5 patients and led to device modification half way through the study to mitigate this issue. Technical, device and procedural successes were 97%, 72% and 68%, respectively. At 1-year, overall survival, survival free of readmission for heart failure and survival free of reintervention (performed in seven patients) were 87%, 66% and 78%, respectively. MR grade at 12 months was ≤ grade 2+ in 61% of the overall population and in 95% of the 39 patients who underwent a transthoracic echocardiography at 1 year; but worsened by at least one grade in 11 patients (22%). The latter suggests some recurrence of functional MR in this population. Functional status (79% vs 14% in NYHA Class I/II), quality of life and exercise capacity (+58 m by 6MWT) improved significantly. In summary, the Cardioband Mitral System demonstrated reasonable performance and safety; however, a larger RCT is absolutely needed. In the ACTIVE trial, patients will be randomised 2:1 to receive either TMVr with the Cardioband Mitral System plus GDMT versus GDMT alone.

**Millipede Transcatheter Mitral Annuloplasty System**

The Millipede System is a transcatheter, transseptal direct mitral annuloplasty device currently under development and investigation. The technology has demonstrated proof-of-concept in more than 20 human...
The Millipede device has a complete semi-rigid ring design that conceptually follows the full-ring surgical predicates most commonly used as a stand-alone mitral valve repair for patients with functional MR. It has a nitinol zig-zag stent frame that is circumferentially fixed to the annulus by eight helical anchors. The top of the frame has eight slider components that can be individually cinched to achieve tailored downsizing of the mitral annulus (figure 4). The delivery catheter is designed for the transvenous transseptal delivery route and has a 27 Fr profile.

A unique feature of the Millipede technology is the possibility of using an integrated intracardiac echocardiography catheter through the central lumen of the delivery catheter, providing unobstructed near-field imaging of the mitral annulus. This imaging modality is primarily used for control of device anchoring.

Transcatheter edge-to-edge mitral valve repair
Alfieri and colleagues first described the surgical repair of prolapse of the anterior mitral valve leaflet using an edge-to-edge technique by opposing the middle scallops of the anterior and posterior leaflets with a stitch, creating a so-called ‘double-orifice’ mitral valve. Since the Alfieri repair is acting at the leaflet level, it can be applied independently of the underlying mechanism of MR. It is the only therapy which can be effective both to degenerative and functional MR.

During the past decade, the MitraClip system (Abbott) has been increasingly adopted as a method of creating
an edge-to-edge mitral valve repair using a percutaneous transseptal approach. The MitraClip system received CE mark approval in 2008 and has since then known a steady growth in its use;\(^\text{12–15}\) it also received Food and Drug Administration (FDA) approval for degenerative and functional MR in 2013 and 2019, respectively. It has been implanted in more than 100,000 patients in over 50 countries and is the only TMVr therapy to complete prospective trials comparing the device to conventional mitral valve surgery\(^\text{16–17}\) and GDMT.\(^\text{18–21}\)

The PASCAL Transcatheter Valve Repair System (Edwards Lifesciences) received CE mark approval for the treatment of MR and was introduced in 2019 after showing acceptable outcomes in the CLASP study in a patient population of functional, degenerative and mixed aetiology.\(^\text{22}\)

**MitraClip system**

The MitraClip system is a therapeutic option for patients with moderate-to-severe and severe degenerative, functional or mixed MR who are not considered suitable candidates for conventional mitral valve surgery. Some valve morphologies are more suitable for MitraClip therapy than others (see online supplemental file 1).

The MitraClip system consists of a steerable guide catheter and a clip delivery system (CDS), which includes the detachable clip. The steerable guide and CDS allow manoeuvring the clip in all different planes (figure 5A). MitraClip G4 is the 4th-generation device which comes with four enhancements. A Controlled Gripper Actuation feature allows for simultaneous or independent leaflet grasping to optimise leaflet grasping and insertion (figure 5B). MitraClip G4 also comes with the choice between four clip sizes (NT, XT, NTW, XTW) offering more options for patient-tailored TMVr (figure 5C). Integrated left atrial pressure monitoring enables real-time MR assessment. Finally, a simplified system preparation and deployment should further streamline the procedure.

The MitraClip procedure is performed under general anaesthesia using fluoroscopy and TEE guidance. The clip consists of two arms that are opened and closed by control mechanisms on the CDS and two ‘grippers’ that match up to each arm and help stabilising the leaflets as they are captured during closure of the clip arms. Leaflet

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**Figure 4** Millipede Transcatheter Mitral Annuloplasty System. (A and B) The Millipede device has a complete semi-rigid ring design and consists of a nitinol zig-zag stent frame that is circumferentially fixed to the annulus by eight helical anchors. (C and D) The top of the frame has eight sliders that can be individually cinched to achieve patient-tailored downsizing of the mitral annulus. Images courtesy of and provided by Boston Scientific.
tissue is secured between the arms and each side of the gripper, and the clip is then closed and locked to maintain leaflet coaptation. Prior to clip deployment, a leaflet insertion and haemodynamic assessment should be performed (figure 5D–F). Pressure gradients are assessed to ensure there is no clip-induced mitral stenosis. If needed, the physician may also place a second or third clip to optimise MR reduction.
MitraClip is supported by the largest body of evidence of all TMVR therapies. More than 30,000 patients have been treated in MitraClip clinical trials representing 16 years of clinical research published in more than 2,500 scientific papers. An overview of key MitraClip studies can be found in online supplemental file 2.

Results of two RCTs comparing MitraClip with GDMT—MITRA-FR and COAPT—in patients with functional MR became available in 2018, with 2-year and 3-year follow-up data released in 2019 and 2020, respectively. The RCT findings were conflicting, with the MITRA-FR study showing no benefit on the primary endpoint at 1 year (composite of death or rehospitalisation for heart failure), while the COAPT study showed positive results on the primary endpoint at 2 years (cumulative rate of rehospitalisation for heart failure) as well as on all-cause mortality. These different outcomes observed in both RCTs may be explained by the following aspects: (1) COAPT enrolled a subset of patients who had more severe MR and less LV dilation compared with MITRA-FR patients; (2) the GDMT used in the two trials differed—the rates of drug use and medication titration throughout the MITRA-FR trial course were not tracked, and although guideline-directed, they may not have been guideline optimised; (3) technical success was different between the two trials—residual MR class ≥3+ was higher post-MitraClip in the MITRA-FR as compared with the COAPT trial, both acutely (9% vs 5%) and at 12 months (17% vs 5%). Taken together, it may be concluded that MitraClip therapy seems to give the best result for patients with severe MR and less advanced LV dilatation and when MR reduction can be obtained. Under these conditions, the MitraClip system is the only TMVR device to show improved survival in heart failure patients with functional MR.

### PASCAL Transcatheter Valve Repair System

The PASCAL Transcatheter Valve Repair System consists of a guide sheath, steerable sheath and implant catheter which includes the PASCAL or PASCAL Ace implant. The ability to independently move these catheters allows manoeuvring in three different planes; intending to assist the operator in the treatment of challenging anatomies. The PASCAL (10 mm width) and PASCAL Ace (6 mm width) implants consist of two paddles, two clasps and a central spacer: (1) the two paddles promote leaflet approximation; (2) the two clasps allow for independent leaflet capture and adjustment of leaflet insertion—a clasp only has 1 row of grippers versus 4–6 rows in grippers in the MitraClip system and (3) the central spacer should reduce the tension on the leaflets and fill the regurgitant orifice area to minimise MR. A feature of the PASCAL implant is its ability to elongate, which promotes safer retraction from the subvalvular apparatus, thereby reducing the risk of damaging the chords (figure 6). The procedure is performed under general anaesthesia using fluoroscopy and TEE guidance and follows the same procedural steps as a MitraClip procedure.

The PASCAL System received CE mark approval for the treatment of MR after showing acceptable safety and feasibility in the CLASP study in a patient population of functional, degenerative and mixed aetiology. The procedural and clinical success rate was 92% and 87%, respectively. There was reduction of MR grade 3/4+ at baseline to MR grade ≤2 in 98% of patients and to MR grade ≤1 in 86% of patients at 30 days. One-year outcomes of the CLASP study demonstrated a high survival rate of 92% for the overall population and 89% and 96% for the functional and degenerative MR populations, respectively. There was evidence of sustained MR reduction with 82% of patients having MR grade ≤1% and 100% having MR grade ≤2. Improvement in exercise capacity and quality of life were also significant at 1 year.

The PASCAL Transcatheter Valve Repair System and MitraClip System are being compared in patients with both degenerative and functional MR within the CLASPID/IIF Pivotal Clinical Trial (ClinTrials.gov: NCT03706833).

### Transcatheter mitral valve chordal repair

Transcatheter mitral valve chordal repair systems have been developed to treat severe degenerative MR due to a prolapse or flail posterior, anterior or both mitral valve leaflets. The two systems that are currently under investigation in an FDA Pivotal and CE Mark trial are the NeoChord Artificial Chordae Delivery System (NeoChord, Minnesota, USA) and HARPOON Mitral Valve Repair System (MVR; Edwards Lifesciences), respectively. Both systems are designed for transapical, beating heart, off-pump mitral valve repair and require a left lateral thoracotomy incision.

#### NeoChord

NeoChord implantation is currently indicated for severe degenerative MR due to a prolapse or flail posterior, anterior or both mitral valve leaflets. Patients with a central posterior leaflet (P2) prolapse or frail are the best candidates for NeoChord treatment; it should also be performed at an early stage of mitral valve pathology, before annular dilatation occurs.

The procedure is performed under general anaesthesia with intraprocedural TEE guidance. Following a standard left lateral mini thoracotomy, the apical access site should be confirmed by real-time 2D-TEE imaging using gentle ‘finger poking’ approximately 2–3 cm lateral from the true LV apex (figure 7A,B). Correct localisation of the ideal entry site allows the operator to navigate the device towards the mitral valve while maintaining a correct alignment and without interfering with the subvalvular apparatus. When an appropriate position of the device is reached, the jaws of the device are opened (figure 7C), and the leaflet edge is grasped by withdrawing the device from the left atrium. A loop of the suture and a girth hitch knot can then be formed through the mitral leaflet (figure 7D,E). The device can be reloaded with a new suture and the procedure can be repeated until enough
neo-chordae have been implanted; usually 3–4 neo-chordae are implanted. The length of each neo-chordae can be adjusted to achieve maximal MR reduction under normal LV filling conditions (figure 7F,G). Each of the neo-chordae can then be tied to the LV epicardial pledget (figure 7H).

The Trans-Apical Chordae Tendineae trial showed satisfying immediate safety and efficacy of the NeoChord system, leading to CE approval. The ongoing AcChord Registry will provide more long-term outcome data in a post-market setting. The RECHORD trial (ClinicalTrials.gov: NCT02803957) is an ongoing prospective, multicentre, randomised FDA pivotal trial intended to establish the safety and effectiveness of the device as an alternative to standard surgical mitral valve repair. The company is currently working on a fully percutaneous transseptal system, which is at the moment under preclinical evaluation.

**HARPOON MVRS**

The HARPOON Beating Heart MVRS is intended to reduce the degree of MR in patients with severe degenerative MR caused by posterior mitral leaflet prolapse by delivering and anchoring e-polytetrafluoroethylene...
(ePTFE) chords to the prolapsed mitral valve leaflet in a beating heart.

The procedure is performed under general anaesthesia using a left lateral thoracotomy incision (figure 8A). As soon as TEE confirms that the delivery system does not move and that the end effector stays in contact with the targeted site on the mitral leaflet, the plunger is released to deploy a double-helical knot through the free-edge of the leaflet (figure 8B–D). These steps are repeated until the desired number of ePTFE chords have been implanted starting from a lateral to medial target location. Next, all ePTFE chords are passed through a stiff Teflon pledget and simultaneously tensioned. While observing the cardiac cycles in X-plane 3D-TEE, the sutures are adjusted one at a time to obtain the desired leaflet coaptation (figure 8E).

The Early Feasibility Study and CE Mark TRACER trial investigated the early feasibility and safety of treatment with the HARPOON system in 13 and 52 patients, respectively.31–33 Of 65 patients enrolled in the two studies, 62 (95%) achieved technical success, 2 patients required conversion to open surgery and one procedure was terminated. The primary endpoint was met in 91% of patients.32 At 1 year of follow-up, 98% of patients were in NYHA Class ≤II; MR was ≤mild in 75% and ≤moderate in 98% of patients.33

In Europe, Post-Market Clinical Follow-Up trials are currently underway and will collect additional safety and device performance data on the HARPOON MVRS in patients with severe degenerative MR. The RESTORE IDE pivotal trial (ClinTrials.Gov: NCT04375332) is being initiated in North-America to evaluate the safety and effectiveness of the HARPOON MVRS in patients with severe degenerative MR presenting with mid-segment posterior mitral leaflet prolapse.

CONCLUSION

TMVr represents a wide spectrum of percutaneous treatment modalities targeting different parts of the mitral apparatus (mitral annulus, mitral valve leaflets and mitral valve chordae). These modalities should be regarded...
as complementary rather than competing in their goal to reduce MR, which itself not rarely has complex and multiple aetiologies. With further data from ongoing clinical studies to be expected, consensus in the Heart Team approach is needed to address these complexities and to determine the most appropriate TMVr therapy, either isolated or combined, for patients with severe symptomatic MR.

Correction notice Since the publication of this article, the authors have noticed that in the caption of Figure 8, the system name was incorrect in two instances. ‘NeoChord’ has been corrected to ‘HARPOON’.

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25 Arnold SV. Short-Term health status changes and long-term outcomes in patients with heart failure and mitral regurgitation: results from the COAPT trial. *Presented at ACC.20/WCC Virtual, 2020.*


Correction: Transcatheter mitral valve repair: an overview of current and future devices


Since the publication of this article, the authors have noticed that in the caption of Figure 8, the system name was incorrect in two instances. ‘NeoChord’ has been corrected to ‘HARPOON’.

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### Suppl. File 1

#### Suppl. File 1. Suitability for MitraClip™ therapy

<table>
<thead>
<tr>
<th>Ideal valve morphology</th>
<th>Unsuitable valve morphology</th>
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<tbody>
<tr>
<td>Central MR</td>
<td>Cleft or perforation in the grasping area</td>
</tr>
<tr>
<td>Lack of calcification in the grasping area</td>
<td>Severe calcification in the grasping area</td>
</tr>
<tr>
<td>Mitral valve area &gt; 4 cm²</td>
<td>Hemodynamically relevant mitral stenosis</td>
</tr>
<tr>
<td>Length of posterior leaflet ≥ 10 mm</td>
<td>Length of posterior leaflet &lt; 7 mm</td>
</tr>
<tr>
<td>Non-rheumatic or -endocarditic valve disease</td>
<td>Rheumatic or endocarditic valve disease</td>
</tr>
<tr>
<td>Flail width &lt; 15 mm, flail gap &lt; 10 mm</td>
<td></td>
</tr>
<tr>
<td>Leaflet coaptation length &gt; 2 mm</td>
<td></td>
</tr>
<tr>
<td><strong>For functional MR – COAPT-study</strong></td>
<td></td>
</tr>
<tr>
<td>Effective regurgitant orifice area &gt; 30 mm²</td>
<td></td>
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<tr>
<td>LVEDV &lt; 135 mL/m²</td>
<td></td>
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</tbody>
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LVEDV, left ventricular end-diastolic volume; MR, mitral regurgitation.
**Supplementary File 2. Overview of key MitraClip™ studies**

<table>
<thead>
<tr>
<th>RANDOMIZED CONTROLLED TRIALS (RCTs)</th>
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| **EVEREST II** (completed) | • 279 patients with significant (≥3+) MR (PMR or SMR) who were suitable candidates for surgery  
• MitraClip™ versus conventional surgery  
• USA and Canada  
• Enrolment: 2005–2008 |
| **COAPT** (completed) | • 614 HF patients with SMR (≥3+) unsuitable for mitral valve surgery  
• MitraClip™ + GDMT versus GDMT alone  
• 78 sites in USA  
• Enrolment: 2012–2017 (follow-up ongoing) |
| **MITRA-FR** (completed) | • 304 patients with severe SMR  
• MitraClip™ + GDMT versus GDMT alone  
• 37 sites in France  
• Enrolment: 2013–2017 |
| **RESHAPE-HF2** (ongoing) | • 650 patients (including 40 from RESHAPE-HF) with SMR  
• MitraClip™ + GDMT +/-CRT versus GDMT +/-CRT alone  
• CZ, DE, DK, ES, GR, IT, PL, PT, UK  
• 2015–ongoing |
| **MATTERHORN** (ongoing) | • 210 patients with PMR or SMR with left ventricle dysfunction  
• MitraClip™ versus surgery  
• Germany, Switzerland  
• 2015–ongoing |
| **MITRA-HR** (ongoing) | • 330 patients with severe PMR  
• MitraClip™ versus conventional surgery  
• France  
• 2018–ongoing |
| **REPAIR MR** (ongoing) | • ~500 moderate-surgical-risk patients with severe PMR candidates for open heart surgery.  
• MitraClip™ versus open heart mitral surgical repair.  
• 60 sites in the USA, Canada, and Europe  
• Enrolment: 2020–ongoing |

<table>
<thead>
<tr>
<th>REGISTRIES</th>
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</table>
| **EVEREST II HRS** (completed) | • Prospective, multicentre study which assessed the efficacy and safety of MitraClip™ in 78 patients with significant (≥3+) MR (PMR or SMR) deemed high risk surgical candidates.  
• USA  
• 2007–2008 |
| **ACCESS-EU** (completed) | • Two-phase, prospective, observational study of 567 patients with PMR or SMR who received MitraClip™  
• 14 sites in CH, DE, DK, IT  
• 2008–2011 |
| **EVEREST II REALISM** (completed) | • Prospective, continued-access study to investigate the real-world use of MitraClip™ in low versus high surgical risk patients (N=965) with PMR or SMR  
• USA  
• 2009–2011 |
<table>
<thead>
<tr>
<th>Study</th>
<th>Description</th>
</tr>
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| **GRASP** (completed) | • Enrolled 117 patients with grade ≥3+ MR (PMR or SMR) deemed high risk for conventional surgery who received MitraClip™  
• Single centre in Italy.  
• 2008–2012                                                      |
| **MULTI-CENTRE EXPERIENCE** (completed) | • Registry enrolling 173 patients with PMR or SMR treated with MitraClip™  
• Three centres in Denmark, Sweden, and UK  
• 2009–2012                                              |
| **FRENCH REGISTRY** (completed) | • A study of short- and mid-term efficacy and safety of MitraClip™ in inoperable/high surgical risk patients (N=62) with PMR or SMR  
• Seven centres in France  
• 2010–2012                                                      |
| **EUROPEAN SENTINEL** (completed) | • A real-world overview of 628 patients with PMR or SMR who received MitraClip™  
• BE, CH, DE, DK, IT, PL, SE, UK  
• 2011–2012                                                        |
| **TRAMI** (completed) | • A study of MitraClip™ efficacy and safety in daily clinical practice based on 1,064 patients with PMR or SMR and cardiac comorbidities  
• 21 sites in Germany  
• 2010–2013                                                        |
| **EXPAND** (completed) | • A contemporary, prospective study evaluating real-world experience of performance and safety of MitraClip™ (NTR, XTR) in 1,000 patients with PMR, SMR, or mixed MR  
• CH, DE, ES, IL, IT, NL, UK, and USA  
• 2018–2019                                                        |
| **TVT** (ongoing) | • Registry enrolling all patients (including those with PMR or SMR; N=12,334 as of September 2017) that have undergone transcatheter valve replacement and repair procedures for the monitoring of safety and real-world outcomes  
• Multiple centres throughout the US  
• 2011–ongoing                                                       |
| **MITRA SWISS** (ongoing) | • Prospective, nationwide investigator initiated MitraClip™ registry that continuously enrolls patients with either PMR or SMR undergoing MitraClip™ procedure (N=1,212 as of August 2019)  
• 2011–ongoing                                                        |
| **GIOTTO** (ongoing) | • Prospective, observational patient registry which will enrol up to 1,500 MitraClip™ patients with PMR or SMR for follow-up to 5 years  
• Italy  
• 2016–ongoing                                                       |
| **EXPAND G4** (ongoing) | • Prospective patient registry to assess the safety and performance of the fourth generation MitraClip™ device in 100 MitraClip™ G4 recipients with either PMR, SMR, or mixed MR  
• 17 sites in the US  
• 2020–ongoing                                                       |
## Suppl. File 1

### Suppl. File 1. Suitability for MitraClip™ therapy

<table>
<thead>
<tr>
<th>Ideal valve morphology</th>
<th>Unsuitable valve morphology</th>
</tr>
</thead>
<tbody>
<tr>
<td>Central MR</td>
<td>Cleft or perforation in the grasping area</td>
</tr>
<tr>
<td>Lack of calcification in the grasping area</td>
<td>Severe calcification in the grasping area</td>
</tr>
<tr>
<td>Mitral valve area &gt; 4 cm²</td>
<td>Hemodynamically relevant mitral stenosis</td>
</tr>
<tr>
<td>Length of posterior leaflet ≥ 10 mm</td>
<td>Length of posterior leaflet &lt; 7 mm</td>
</tr>
<tr>
<td>Non-rheumatic or -endocarditic valve disease</td>
<td>Rheumatic or endocarditic valve disease</td>
</tr>
<tr>
<td>Flail width &lt; 15 mm, flail gap &lt; 10 mm</td>
<td></td>
</tr>
<tr>
<td>Leaflet coaptation length &gt; 2 mm</td>
<td></td>
</tr>
</tbody>
</table>

**For functional MR – COAPT-study**

| Effective regurgitant orifice area > 30 mm²                  |                                                                  |
| LVEDV < 135 mL/m²                                            |                                                                  |

LVEDV, left ventricular end-diastolic volume; MR, mitral regurgitation.
Supplementary File 2. Overview of key MitraClip™ studies

<table>
<thead>
<tr>
<th>RANDOMIZED CONTROLLED TRIALS (RCTs)</th>
<th></th>
</tr>
</thead>
</table>
| **EVEREST II** (completed) | • 279 patients with significant (≥3+) MR (PMR or SMR) who were suitable candidates for surgery  
• MitraClip™ versus conventional surgery  
• USA and Canada  
• Enrolment: 2005–2008 |
| **COAPT** (completed) | • 614 HF patients with SMR (≥3+) unsuitable for mitral valve surgery  
• MitraClip™ + GDMT versus GDMT alone  
• 78 sites in USA  
• Enrolment: 2012–2017 (follow-up ongoing) |
| **MITRA-FR** (completed) | • 304 patients with severe SMR  
• MitraClip™ + GDMT versus GDMT alone  
• 37 sites in France  
• Enrolment: 2013–2017 |
| **RESHAPE-HF2** (ongoing) | • 650 patients (including 40 from RESHAPE-HF) with SMR  
• MitraClip™ + GDMT +/-CRT versus GDMT +/-CRT alone  
• CZ, DE, DK, ES, GR, IT, PL, PT, UK  
• 2015–ongoing |
| **MATTERHORN** (ongoing) | • 210 patients with PMR or SMR with left ventricle dysfunction  
• MitraClip™ versus surgery  
• Germany, Switzerland  
• 2015–ongoing |
| **MITRA-HR** (ongoing) | • 330 patients with severe PMR  
• MitraClip™ versus conventional surgery  
• France  
• 2018–ongoing |
| **REPAIR MR** (ongoing) | • ~500 moderate-surgical-risk patients with severe PMR candidates for open heart surgery.  
• MitraClip™ versus open heart mitral surgical repair.  
• 60 sites in the USA, Canada, and Europe  
• Enrolment: 2020–ongoing |

<table>
<thead>
<tr>
<th>REGISTRIES</th>
<th></th>
</tr>
</thead>
</table>
| **EVEREST II HRS** (completed) | • Prospective, multicentre study which assessed the efficacy and safety of MitraClip™ in 78 patients with significant (≥3+) MR (PMR or SMR) deemed high risk surgical candidates.  
• USA  
• 2007–2008 |
| **ACCESS-EU** (completed) | • Two-phase, prospective, observational study of 567 patients with PMR or SMR who received MitraClip™  
• 14 sites in CH, DE, DK, IT  
• 2008–2011 |
| **EVEREST II REALISM** (completed) | • Prospective, continued-access study to investigate the real-world use of MitraClip™ in low versus high surgical risk patients (N=965) with PMR or SMR  
• USA  
• 2009–2011 |
<table>
<thead>
<tr>
<th>Study Name</th>
<th>Description</th>
</tr>
</thead>
</table>
| **GRASP** (completed)    | • Enrolled 117 patients with grade ≥3+ MR (PMR or SMR) deemed high risk for conventional surgery who received MitraClip™  
                           • Single centre in Italy.  
                           • 2008–2012                                                                                                 |
| **MULTI-CENTRE EXPERIENCE** (completed) | • Registry enrolling 173 patients with PMR or SMR treated with MitraClip™  
                           • Three centres in Denmark, Sweden, and UK  
                           • 2009–2012                                                                                                 |
| **FRENCH REGISTRY** (completed) | • A study of short- and mid-term efficacy and safety of MitraClip™ in inoperable/high surgical risk patients (N=62) with PMR or SMR  
                           • Seven centres in France  
                           • 2010–2012                                                                                                 |
| **EUROPEAN SENTINEL** (completed) | • A real-world overview of 628 patients with PMR or SMR who received MitraClip™  
                           • BE, CH, DE, DK, IT, PL, SE, UK  
                           • 2011–2012                                                                                                 |
| **TRAMI** (completed)    | • A study of MitraClip™ efficacy and safety in daily clinical practice based on 1,064 patients with PMR or SMR and cardiac comorbidities  
                           • 21 sites in Germany  
                           • 2010–2013                                                                                                 |
| **EXPAND** (completed)   | • A contemporary, prospective study evaluating real-world experience of performance and safety of MitraClip™ (NTR, XTR) in 1,000 patients with PMR, SMR, or mixed MR  
                           • CH, DE, ES, IL, IT, NL, UK, and USA  
                           • 2018–2019                                                                                                 |
| **TVT** (ongoing)        | • Registry enrolling all patients (including those with PMR or SMR; N=12,334 as of September 2017) that have undergone transcatheter valve replacement and repair procedures for the monitoring of safety and real-world outcomes  
                           • Multiple centres throughout the US  
                           • 2011–ongoing                                                                                               |
| **MITRA SWISS** (ongoing) | • Prospective, nationwide investigator initiated MitraClip™ registry that continuously enrolls patients with either PMR or SMR undergoing MitraClip™ procedure (N=1,212 as of August 2019)  
                           • 2011–ongoing                                                                                               |
| **GIOTTO** (ongoing)     | • Prospective, observational patient registry which will enrol up to 1,500 MitraClip™ patients with PMR or SMR for follow-up to 5 years  
                           • Italy  
                           • 2016–ongoing                                                                                               |
| **EXPAND G4** (ongoing)  | • Prospective patient registry to assess the safety and performance of the fourth generation MitraClip™ device in 100 MitraClip™ G4 recipients with either PMR, SMR, or mixed MR  
                           • 17 sites in the US  
                           • 2020–ongoing                                                                                               |