Pathophysiology of exercise intolerance in chronic diseases: the role of diminished cardiac performance in mitochondrial and heart failure patients

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ABSTRACT

Objective Exercise intolerance is a clinical hallmark of chronic conditions. The present study determined pathophysiological mechanisms of exercise intolerance in cardiovascular, neuromuscular, and metabolic disorders.

Methods In a prospective cross-sectional observational study 152 patients (heart failure reduced ejection fraction, n=32; stroke, n=34; mitochondrial disease, n=28; type two diabetes, n=28; and healthy controls, n=30) performed cardiopulmonary exercise testing with metabolic and haemodynamic measurements. Peak exercise O2 consumption and cardiac power output were measures of exercise tolerance and cardiac performance.

Results Exercise tolerance was significantly diminished in patients compared with controls (ie, by 45% stroke, 39% mitochondria disease, and 33% diabetes and heart failure, p<0.05). Cardiac performance was only significantly reduced in heart failure (due to reduced heart rate, stroke volume, and blood pressure) and mitochondrial patients (due reduced stroke volume) compared with controls (ie, by 53% and 26%, p<0.05). Ability of skeletal muscles to extract oxygen (ie, arterial-venous O2 difference) was diminished in mitochondrial, stroke, and diabetes patients (by 24%, 22%, and 18%, p<0.05), but increased by 21% in heart failure (p<0.05) compared with controls. Cardiac output explained 65% and 51% of the variance in peak O2 consumption (p<0.01) in heart failure and mitochondrial patients, whereas arterial-venous O2 difference explained 69% (p<0.01) of variance in peak O2 consumption in diabetes, and 65% and 48% in stroke and mitochondrial patients (p<0.01).

Conclusions Different mechanisms explain exercise intolerance in patients with heart failure, mitochondrial dysfunction, stroke, and diabetes. Their better understanding may improve management of patients, their stress tolerance and quality of life.

INTRODUCTION

Exercise intolerance is a clinical hallmark of chronic diseases associated with increased morbidity and mortality, and reduced quality of life for patients.1–7 Healthy individuals with diminished exercise tolerance demonstrate higher rates of mortality, and increased incidence of heart failure and coronary artery disease.1 2 8 Similarly, patients with type two diabetes, stroke, heart failure, pulmonary, and neuromuscular disorders presented with lower level of exercise tolerance show increased rate of disease progression and mortality.3–7 It is therefore not surprising that exercise intolerance has been an important therapeutic target in chronic conditions using both pharmacological and physiological interventions.9–13

Exercise intolerance is a complex clinical syndrome represented with reduced oxygen (O2) consumption during physiological stimulation.14 Aetiology of exercise intolerance can be explained by diminished capacity of the cardiovascular system to supply oxygen (heart function and cardiac output), and inability of the skeletal muscles to utilise delivered oxygen (mitochondrial function),
or both. In healthy individuals exercise capacity seems to be limited by the ability of the cardiovascular system to deliver oxygen to the exercising muscles. In patients with chronic conditions, that is, diabetes mellitus, cardiovascular, pulmonary, and neuromuscular disorders, the pathophysiology of exercise intolerance is not well understood with evidence supporting mechanisms associated with peripheral and/or central (cardiac) limitations to exercise. Although aetiology of exercise intolerance in heart failure has been studied more extensively than any other chronic condition, the evidence so far has been equivocal.

Better understanding of mechanisms of exercise intolerance is important as it may lead to improved patient management and quality of life. Therefore, the present study was designed to determine underlying pathophysiological mechanisms of exercise intolerance in patients with different chronic conditions. We tested the hypothesis that diminished cardiac performance is the major cause of exercise intolerance in cardiovascular, neuromuscular, and metabolic disorders.

METHODS

Study design

Prospective, single-centre, cross-sectional, observational study evaluated mechanisms of exercise intolerance in patients with cardiovascular, neuromuscular, and metabolic disorders. Data were collected between September 2012 and January 2016, and analysed between February and June 2016. The study was approved by the Research Ethics Committee North East of England - Tyne and Wear South.

Participants

One hundred and fifty two patients were recruited to participate in this study. Study participants included: 1) 32 patients with stable chronic heart failure with reduced left ventricular ejection fraction (LVEF, 32%±9%; New York Heart Association functional class II and III), 2) 34 patients with a history of ischaemic stroke >6 months prior to the study (National Institutes of Health Stroke Scale average score of 3, ranging from 0 to 8) with no concomitant history of heart failure. All stroke patients were able to mobilise independently with/without a stick for 6 min; 3) 28 patients with mitochondrial disease related to either the m.3243A>G or m.8344A>G mutation with clinical stability for >6 months and no history of coronary artery disease or heart failure. Disease burden was mild to moderate in all patients (14 patients had MIDD, 12 had myopathic phenotype and two patients had MELAS), 4) 28 patients with well-controlled type two diabetes for >6 months with no history of coronary artery disease or heart failure, and average HbA1c of 7.0%±0.8%; and 5) 30 healthy individuals with no history of disease or contraindications to exercise testing. Comprehensive screening was completed in all patients including a detailed medical history, physical examination, blood pressure, ECG, and exercise stress testing. Participants were excluded from the study if they had an absolute contraindication to cardiopulmonary exercise stress testing previously suggested. All participants provided informed written consent according to the Declaration of Helsinki.

Procedures

All participants underwent graded cardiopulmonary exercise testing using an electromagnetically controlled semi-recumbent bicycle ergometer (Corival; Lode, Groningen, The Netherlands) with non-invasive gas-exchange (Metalyzer 3B, Cortex, Leipzig, Germany) and cardiac output with the bioreactance method (NICOM, Cheetah Medical, Delaware). The signal processing unit of the NICOM determines the relative phase shift (Δt) between input signals relative to the output signal. The Δt is in response to any changes in blood flow that pass through the aorta. Cardiac output is then derived by Cardiac output=(C×VET×Δtmax×10−3)×HR, where C is the constant of proportionality and VET is ventricular ejection fraction time. The value of C has been previously validated to account for patient age, gender, height and weight. Stroke volume can then be calculated from cardiac output and heart rate.

Online expired gas was measured to determine peak O2 consumption, along with electrocardiography (standard 12-lead configuration appropriate for exercise testing), and non-invasive blood pressure monitoring (brachial artery cuff sphygmomanometry). Cardiovascular and metabolic measurements were monitored and analysed during the 5 min rest period and throughout exercise protocol. All participants performed exercise protocol until they reached volitional exhaustion, or were unable to continue cycling at the required cadence of between 60–70 revolutions per minute. Peak exercise was defined as the absence of any rise in oxygen consumption when exercise intensity was increased, inability of the patient to continue to pedal at the required cadence, or achieved respiratory exchange ratio >1.1. Peak O2 consumption was defined as the average oxygen uptake in the last 30 s of exercise. Cardiac power output (watts), as the measure of overall cardiac function and performance, was calculated using the following equation: CPO = (Qt x MAP) x 2.22 x 10−3, where Qt is cardiac output, MAP is the mean arterial pressure, and 2.22 x 10−3 is the conversion factor. Arteriovenous oxygen difference (a-vO2), was calculated as the ratio between O2 consumption and cardiac output, represents the ability of the skeletal muscles to extract delivered O2.

Statistical analyses

Statistical analysis was carried out using SPSS version 21 (SPSS, Inc., Chicago, Illinois). Prior to analysis, all data were screened for univariate outliers using Z-distribution cut-off scores, and multivariate outliers were detected via the Mahalanobis distance test. A Kolmogorov-Smirnov test was used to assess the normality of distribution of the
Heart failure and cardiomyopathies

Table 1  Participants’ Demographic and Clinical Characteristics

<table>
<thead>
<tr>
<th></th>
<th>Healthy Controls (n=30)</th>
<th>Diabetes (n=28)</th>
<th>Stroke (n=34)</th>
<th>Mitochondrial Disease (n=28)</th>
<th>Heart Failure (n=32)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, y</td>
<td>55 (12)*</td>
<td>60 (9)†</td>
<td>62 (7)‡</td>
<td>48 (9)$</td>
<td>62 (11)</td>
</tr>
<tr>
<td>Male, No. (%)</td>
<td>23 (75)</td>
<td>22 (80)</td>
<td>27 (80)</td>
<td>20 (70)</td>
<td>25 (77)</td>
</tr>
<tr>
<td>Weight, Kg</td>
<td>76.8 (12.7)¶</td>
<td>91.1 (12.2)†</td>
<td>83.0 (14.3)‡</td>
<td>66.1 (14.9)</td>
<td>79.6 (17.3)</td>
</tr>
<tr>
<td>Height, Cm</td>
<td>170 (10)</td>
<td>171 (8)</td>
<td>175 (7)</td>
<td>171 (9)</td>
<td>172 (10)</td>
</tr>
<tr>
<td>Body Mass Index, kg/m²</td>
<td>27 (4)¶</td>
<td>31 (5)∗, ††, †</td>
<td>27 (4)</td>
<td>26 (5)‡</td>
<td>26.6 (4.0)</td>
</tr>
<tr>
<td>Body Surface Area, m²</td>
<td>1.9 (0.1)</td>
<td>2.0 (0.3)</td>
<td>2.0 (0.2)</td>
<td>1.8 (0.1)†</td>
<td>1.9 (0.2)</td>
</tr>
<tr>
<td>History of Coronary Artery Disease, No. (%)</td>
<td>–</td>
<td>–</td>
<td>7 (21)</td>
<td>–</td>
<td>15 (48)</td>
</tr>
<tr>
<td>History of Hypertension, No. (%)</td>
<td>–</td>
<td>11 (40)</td>
<td>27 (80)</td>
<td>7 (25)</td>
<td>23 (71)</td>
</tr>
<tr>
<td>History of Hyperlipidemia, No. (%)</td>
<td>–</td>
<td>17 (60)</td>
<td>19 (55)</td>
<td>10 (36)</td>
<td>17 (47)</td>
</tr>
<tr>
<td>History of Diabetes</td>
<td>–</td>
<td>28 (100)</td>
<td>11 (33)</td>
<td>15 (55)</td>
<td>8 (24)</td>
</tr>
<tr>
<td>Receiving Metformin, No. (%)</td>
<td>–</td>
<td>20 (70)</td>
<td>–</td>
<td>8 (30)</td>
<td>6 (18)</td>
</tr>
<tr>
<td>Receiving Insulin, N. (%)</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>2 (6)</td>
</tr>
<tr>
<td>Receiving ACE or ARB, No. (%)</td>
<td>–</td>
<td>11 (40)</td>
<td>27 (80)</td>
<td>13 (45)</td>
<td>30 (94)</td>
</tr>
<tr>
<td>Receiving β-blocker, No. (%)</td>
<td>–</td>
<td>–</td>
<td>9 (25)</td>
<td>3 (10)</td>
<td>32 (100)</td>
</tr>
<tr>
<td>Calcium channel blocker, No. (%)</td>
<td>–</td>
<td>–</td>
<td>5 (15)</td>
<td>3 (10)</td>
<td>8 (24)</td>
</tr>
<tr>
<td>Receiving statin</td>
<td>–</td>
<td>17 (60)</td>
<td>19 (55)</td>
<td>11 (36)</td>
<td>30 (94)</td>
</tr>
<tr>
<td>Receiving Antiarrhythmic, No. (%)</td>
<td>–</td>
<td>–</td>
<td>9 (25)</td>
<td>4 (15)</td>
<td>15 (47)</td>
</tr>
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</table>

Significant differences between groups (significance p<0.05, data extrapolated from one-way ANOVA and Tukey post-hoc test): *Mitochondrial Disease vs Healthy †Mitochondrial Disease vs Diabetes ‡Stroke vs Mitochondrial Disease §Heart Failure vs Mitochondrial Disease ††Diabetes vs Healthy **Heart Failure vs Diabetes †††Stroke vs Diabetes

ACE, angiotensin-converting enzyme; ARB, angiotensin receptor blocker

RESULTS
Study participants demographic details are presented in table 1. Patients with mitochondrial disease had a significantly lower age (p<0.01) compared with other groups.

Measurements at rest
The heart failure patients demonstrated significantly lower values of cardiac variables including cardiac power output, blood pressure, and cardiac output compared with other groups (p<0.05; table 2). Resting heart rate was highest in mitochondrial disease, which was significantly higher than in heart failure and healthy participants (27% and 15% respectively, p<0.05). Cardiac power output index and stroke volume index were significantly lower in heart failure patients compared with healthy controls (p<0.05, figure 1A-B). Oxygen consumption was similar between the groups, with mitochondrial disease only demonstrating higher values than diabetes (p<0.05, table 2, figure 1C). Arterial-venous O₂ difference was also similar between the groups, except heart failure demonstrating significantly higher values (table 2, figure 1D).

Exercise tolerance and its determinants
All participants demonstrated a significant effort during cardiopulmonary exercise testing reflected with peak exercise respiratory exchange ratio >1.10 (healthy, 1.16±0.10; diabetes, 1.14±0.11; stroke, 1.10±0.06; mitochondria, 1.13±0.09; and heart failure, 1.12±0.08).
Forty-two % stroke patients terminated exercise test before reaching respiratory exchange ratio >1.10. Similarly, in 22% and 26% of mitochondrial and heart failure patients were not able to continue to pedal at the required cadence before reaching respiratory exchange ratio of >1.10.

Anaerobic threshold, expressed as a percentage of achieved peak oxygen consumption, was achieved in all patients, and was significantly reduced in patients compared with controls (healthy, 62±12; diabetes, 52±13; stroke, 46±8; mitochondria, 44±14; and heart failure, 48±9, p<0.05).

Peak exercise \( \text{O}_2 \) consumption was significantly diminished in patients compared with controls that is, by 33% in diabetes and heart failure, 39% in mitochondrial disease, and 45% in stroke (p<0.05, table 2, figure 1C). Peak exercise cardiac output was only significantly reduced in heart failure and mitochondrial patients compared with controls i.e. by 59% and 30% (p<0.05), respectively as was cardiac power output by 53% and 26% (p<0.05, table 2, figure 1A). Cardiac performance
was diminished due to reduced heart rate (25%), stroke volume (18%), and mean arterial blood pressure (19%) in heart failure (p<0.05), and stroke volume (23%) in mitochondrial patients (p<0.05) compared with healthy controls. Arterial-venous O2 difference was significantly reduced in stroke, mitochondrial, and diabetes patients compared with healthy controls that is, by 22%, 24%, and 18% (p<0.05), but significantly increased in heart failure by 21% (p<0.05, table 2, figure 1D).

Relationship between exercise tolerance, cardiac performance and arterial-venous O2 difference
When data from all study participants were combined, there was a significant positive moderate relationship between peak exercise O2 consumption and cardiac output (r=0.59, p<0.01), cardiac power output (0.52, p<0.01), and arterial-venous O2 difference (r=0.50, p<0.01). Subgroup analysis revealed that exercise tolerance was highly dependent on cardiac performance in heart failure, with cardiac output explaining 65% of the variance in peak O2 consumption, respectively (r=0.81, p<0.01, table 3). Furthermore, ability of skeletal muscles to extract O2 was the major determinant of exercise tolerance in stroke and diabetes, with arterial-venous O2 difference explaining 65% (r=0.81, p<0.01) and 69% (r=0.83, p<0.01) of the variance in peak oxygen consumption respectively. In healthy controls and mitochondrial patients, exercise tolerance was significantly influenced by both, central and peripheral factors such that cardiac

![Figure 1](https://openheart.bmj.com/)

**Figure 1** Central haemodynamic and functional capacity variables measured at rest and peak exercise that is, Cardiac power output index (A), Stroke volume index (B), Oxygen consumption (C), Arteriovenous oxygen difference (D) in different patients groups.

<table>
<thead>
<tr>
<th>Table 3</th>
<th>Relationship between peak exercise oxygen consumption and cardiac output and arteriovenous oxygen difference</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>O2 consumption ~ Cardiac output (L/min)</strong></td>
<td><strong>O2 consumption ~ Arteriovenous O2 different (mL)</strong></td>
</tr>
<tr>
<td><strong>r</strong></td>
<td><strong>r</strong></td>
</tr>
<tr>
<td>Healthy</td>
<td>0.556</td>
</tr>
<tr>
<td>Diabetes</td>
<td>0.108</td>
</tr>
<tr>
<td>Stroke</td>
<td>0.511</td>
</tr>
<tr>
<td>Mitochondrial Disease</td>
<td>0.714</td>
</tr>
<tr>
<td>Heart Failure</td>
<td>0.808</td>
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</table>
output explains 31% and 51% (p<0.01), whereas arterial-venous $O_2$ difference 44% and 48% (p<0.01) of the overall variance in peak $O_2$ consumption (table 3).

**DISCUSSION**

The main finding of the present study suggests that pathophysiological mechanisms underlying exercise intolerance differ between the clinical groups. The major limitation to exercise in heart failure is diminished cardiac performance, whereas in stroke and diabetes the major contributor to exercise intolerance is reduced ability of skeletal muscles to extract $O_2$. In mitochondrial patients exercise tolerance was significantly influenced by both, central and peripheral factors. These findings may have important clinical implications that is, that therapeutic pharmacological and physiological interventions targeting exercise intolerance need to be specific and tailored towards clinical presentation of an individual patient and in the line with aetiology of functional impairment. Results from the present study add novelty to the existing literature by providing for the first time direct comparison between mechanisms of exercise intolerance among patients with different underlying chronic conditions. Exercise intolerance in a clinical hallmark of many chronic diseases and better understanding of its pathology may lead to better patient management and clinical outcomes.

As expected exercise tolerance was significantly diminished in patients compared with healthy subjects. However, peak $O_2$ consumption interestingly was not significantly different among the patient groups and was in the range of ~10% from each other. Heart failure with reduced ejection fraction and diabetes patients demonstrated similar level of exercise tolerance which is by ~30% lower than in healthy controls. However, the mechanisms of exercise intolerance are clearly distinct with cardiac performance (ie, peak exercise cardiac output and cardiac power output) being reduced in heart failure by ~40% and ~50% compared with healthy controls. This finding is supported by previous investigations in heart failure due to left ventricular systolic dysfunction suggesting that the major determinant of exercise intolerance is impaired cardiac function, whereas a significant arterial-venous $O_2$ difference reserve suggests skeletal muscle per se contributes minimally to limiting exercise capacity.25–29

Contrary to heart failure, patients with diabetes demonstrate cardiac performance which is only ~10% lower than healthy controls. This may be a surprising finding considering susceptibility of patients with diabetes to cardiac dysfunction and heart failure even in the absence of coronary artery disease, hypertension, and valvular disease.35 36 However, in agreement with our findings, previous studies also reported that peripheral mechanisms and skeletal muscles ability to extract delivered $O_2$ was the main determinant of the $O_2$ uptake during exercise in patients with type two diabetes.16 22 As previously suggested type two diabetic skeletal muscle demonstrates a transient imbalance of muscle oxygen delivery relative to oxygen uptake after onset of exercise, suggesting a slowed microvascular blood flow increase in type two diabetic muscle.16 Impaired vasodilatation due to vascular dysfunction in type two diabetes during exercise may contribute to this observation.16

Similarly as in diabetes, the major mechanisms of exercise intolerance in stroke patients was not cardiac performance but rather significantly reduced ability of skeletal muscles to extract $O_2$, explaining remarkable ~65% of the variance in measured $O_2$ consumption. A limited number of studies have reported pathophysiology of exercise intolerance in stroke. While it was initially argued that cardiac performance that is, cardiac output to be reduced by ~1/3 in stroke patients,18 later study reported that cardiac function response to exercise is preserved,17 confirming the finding of the present study that exercise capacity is predominantly effected by skeletal muscle dysfunction. People who suffer from stroke are often left with residual physical impairments limiting physical function. Unique structural and metabolic abnormalities have been demonstrated in hemiparetic muscle post-stroke which may be a direct result of stroke or caused by an indirect reduction in physical activity levels due to impairment further exacerbating reduction in skeletal muscle mass.35 The neurological involvement of the individual may also play a key role in determining exercise intolerance, for example, spasticity of the lower extremities, or poor motor coordination.35

Mitochondrial disease patients, despite being the youngest group, demonstrated functional capacity that was ~12% lower than that of heart failure. When compared with healthy controls, mitochondrial patients showed significantly diminished both, cardiac and peripheral muscle performance by ~25%, with the lowest arterial-venous $O_2$ difference among the patient groups. It is interesting to note that, in contrast with other clinical groups, reduced exercise tolerance in patients with mitochondrial disease was equally affected by both, central and peripheral factors with cardiac output and arterial-venous $O_2$ difference explaining 31% and 51% of the variance in $O_2$ consumption. Mitochondrial disease patients do not seem to have the compensatory mechanism seen in heart failure, possibly due to an increased defective mitochondrial content in the muscle and oxidative phosphorylation, preventing efficient oxygen uptake into the working muscles.38 Mitochondrial patients attempted to overcome defective arterial-venous $O_2$ difference by increasing the heart rate to support peak oxygen consumption. However, overall cardiac reserve (difference between peak exercise and resting heart rate) was diminished because resting heart rate was increased. Additionally, reduced chronotropic competence is featured with reduced ability to increase stroke volume. Our findings are supported by previous studies which also highlighted the inability of mitochondrial disease patients to exceed 10 mL arterial-venous $O_2$.38
difference due to impaired respiratory chain function, reducing the capacity of the skeletal muscles to extract delivered oxygen. This confirms the complex nature of this systemic disorder effecting both the heart and skeletal muscle.

In the present study the following limitations should be considered. First, the overall sample size in each of the group was moderate and non-invasive bio-reactance method was used to assess central haemodynamics. Second, the mean age of the mitochondrial patients was significantly less than the other groups. Results reveal however that most of the physiological variables were in fact lower than reported in other groups despite the younger age, and in the line with clinical presentation of mitochondrial disease patients. Thirdly, the disease associated concomitant medication was different among the groups, and particularly the use of beta-adrenergic receptor blockers which has known effect to reduce cardiac performance. Patients were however instructed not to withdraw medication prior assessment. Lastly, the study was not designed to evaluate other factors (in addition to cardiac output and arterial-venous oxygen difference) that may contribute to impaired oxygen consumption during exercise (including muscle biochemistry, micro-circulation, pulmonary discussion capacity, and oxygen carrying capacity), as previously suggested.

CONCLUSION
The present study suggest that pathophysiology of exercise intolerance differ among patients group. Diminished cardiac performance plays dominant role in exercise tolerance in patients with heart failure with reduced ejection fraction, whereas arterial-venous O$_2$ difference is the major contributor in patients with diabetes and stroke. Exercise capacity in patients with mitochondrial disorders is equally affected by both central haemodynamic and peripheral factors. These findings may have important clinical implications because better understanding of the pathophysiology of exercise intolerance in chronic diseases may improve management of the patients, their stress tolerance and quality of life.

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Contributors DGJ had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. Study concept and design: DGJ Acquisition of data: JMcC, MS, SC, JN, MB, GG, SAM. Statistical analysis and interpretation of data: JMcC, DGJ. Drafting of the manuscript: JMcC, DGJ. Critical revision of the manuscript for important intellectual content: MB, MG, CE, GG, MIT, JGF, PMS, MIT, DGJ. Administrative, technical, or material support: JMcC, Cassidy, DGJ. Study Supervision: DGJ, MIT.

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REFERENCES


