# **Supplemental materials**

# **Table of contents**

Supplemental tables	3
eTable 1: Search strategy	3
eTable 2: Impact of a single non-sex-related stroke risk factor	4
eTable 3: Role of anticoagulation therapy versus no anticoagulation in case of a single non-sex-related stroke risk factor	6
eTable 4: Effectiveness and safety of NOACs as compared to VKAs in case of a single non-sex-related stroke risk factor	8
eTable 5: Assessment of bias within studies on the impact of a single stroke risk factor	. 10
eTable 6: Assessment of bias within studies on the effectiveness and safety of NOACs versus VK in patients with a single stroke risk factor	
eTable 7: PRISMA 2009 checklist	. 16
Supplemental figures	. 18
eFigure 1: Sensitivity analysis on the impact of a single non-sex-related stroke risk factor after fi pooling the results of the study by Hung et al.	
eFigure 2: The effectiveness and safety of NOACs versus warfarin in AF patients with a single stroke risk factor, using a fixed effects model	. 19
eFigure 3: Assessment of publication bias in studies on the impact of a single stroke risk factor	. 20
eFigure 4: Assessment of publication bias in studies on the effectiveness and safety of NOACs versus VKAs in patients with a single stroke risk factor	. 23
References	. 25

# Supplemental tables

### eTable 1: Search strategy

### The following search terms were used in Medline:

<b>P</b> atient	"Atrial Fibrillation"[Mesh] OR "Atrial Fibrillation"[TIAB]
Intervention and	"Apixaban"[TIAB] OR "Apixaban"[Supplementary Concept] OR
Control	"Rivaroxaban"[Mesh] OR "Rivaroxaban"[TIAB] OR
	"Edoxaban"[TIAB] OR "Edoxaban"[Supplementary Concept] OR
	"Dabigatran"[Mesh] OR "Dabigatran"[TIAB] OR
	"Antithrombins"[Mesh] OR "Factor Xa Inhibitors"[Mesh] OR "New
	oral anticoagulants"[TIAB] OR "NOAC"[TIAB] OR "Direct oral
	anticoagulants"[TIAB] OR "DOAC"[TIAB] OR "Non-vitamin K
	antagonist oral anticoagulants"[TIAB]
<b>O</b> utcome	"Thromboembolism"[Mesh] OR "Thromboembolism"[TIAB] OR
	"Thrombosis"[TIAB] OR "Stroke"[TIAB] OR "Hemorrhage"[TIAB] OR
	"Hemorrhage"[Mesh] OR "Bleeding"[TIAB]
Filter	English

### The following search terms were used in Embase:

	<del>-</del>
<b>P</b> atient	('atrial fibrillation':ab,ti OR 'atrial fibrillation'/exp) AND ('cha2ds2-
	vasc score':ab,ti OR 'cha2ds2-vasc score'/exp OR 'stroke risk
	factor':ab,ti OR 'chads2 score':ab,ti OR 'chads2 score'/exp)
Intervention and	apixaban:ab,ti OR 'apixaban'/exp OR rivaroxaban:ab,ti OR
Control	'rivaroxaban'/exp OR edoxaban:ab,ti OR 'edoxaban'/exp OR
	dabigatran:ab,ti OR 'dabigatran'/exp OR 'new oral
	anticoagulant':ab,ti OR 'new oral anticoagulant'/exp OR NOAC:ab,ti
	OR DOAC:ab,ti OR 'direct oral anticoagulant':ab,ti OR 'direct oral
	anticoagulant'/exp OR 'non vitamin k antagonist oral
	anticoagulant':ab,ti OR 'non-vitamin k antagonist oral
	anticoagulant':ab,ti OR 'non vitamin k antagonist oral
	anticoagulant'/exp OR 'non vitamin k oral anticoagulant':ab,ti OR
	'non-vitamin k oral anticoagulant':ab,ti
<b>O</b> utcome	thromboembolism:ab,ti OR 'thromboembolism'/exp OR
	thrombosis:ab,ti OR 'thrombosis'/exp OR stroke:ab,ti OR
	'stroke'/exp OR hemorrhage:ab,ti OR haemorrhage:ab,ti OR
	bleeding:ab,ti OR 'bleeding'/exp

eTable 1: Search strategy.

eTable 2: Impact of a single non-sex-related stroke risk factor

Author	Study design	Study cohort	n	Mean/median	Mean/median		Thromboembolism	
				age (years +/-	follow-up	(Event rat	e [95% CI] and/or HR [95% CI	])
				SD; [IQR])	(+/- SD; [IQR])		1	
Olesen	Observational	Hospital-discharged non-	73 538 overall,	<65 years old:	Up to 10 years	After 1y follow-up:	After 5y follow-up:	After 10y follow-up:
et al.	retrospective	anticoagulated AF patients	6369 with CHA <sub>2</sub> DS <sub>2</sub> -	15 130	of follow-up	Stroke/SE/pulmonary embolism:	Stroke/SE/pulmonary	Stroke/SE/pulmonary
2011 <sup>1</sup>	nationwide	in Denmark (1997-2006),	VASc score 0,	(20.5%)	(maximum	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0:	<u>embolism:</u>	<u>embolism:</u>
	study	using the national patient	8203 with CHA <sub>2</sub> DS <sub>2</sub> -	65-74 years	duration, no	Event rate: 0.78 per 100 PY [0.58-	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0:	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0:
	(Denmark)	registry. Impact of	VASc score 1	old:	mean/median	1.04]	Event rate: 0.69 per 100	Event rate: 0.66 per 100 PY
		individual CHA2DS2-VASc		14 544	follow-up	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1:	PY [0.59-0.81]	[0.57-0.76]
		score risk factors on the		(19.8%)	reported)	Overall: 2.01 per 100 PY [1.70-2.36]	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1:	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1:
		risk of thromboembolism		≥75 years old:		Heart failure: 1.50 per 100 PY [0.37-	Overall: 1.51 per 100 PY	Overall: 1.45 per 100 PY
		(stroke/SE and/or		43 864		5.98]	[1.37-1.67]	[1.32-1.58]
		pulmonary embolism; in		(59.7%)		Hypertension: 2.14 per 100 PY [1.46-		
		supplemental materials risk		(mean/median		3.15]	Stroke/SE:	Stroke/SE:
		estimates on stroke/SE		age NR)		Diabetes: 3.47 per 100 PY [1.65-7.27]	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0:	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0:
		risk).				Vascular disease: 0.75 per 100 PY	HR 1.00 (reference)	HR 1.00 (reference)
						[0.24-2.33]	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1:	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1:
						Age 65-74y: 2.88 per 100 PY [2.29-	Heart failure: HR 3.52	Heart failure: HR 2.75 [1.45-
						3.62]	[1.85-6.69]	5.20]
						Female sex: 1.24 per 100 PY [0.89-	Hypertension: HR 2.33	Hypertension: HR 2.17 [1.65-
						1.73]	[1.73-3.15]	2.85]
							Age 65-74 years: HR 2.95	Age 65-74 years: HR 3.02
						Stroke/SE (supplemental materials):	[2.34-3.72]	[2.46-3.71]
						CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0:	Diabetes mellitus: HR 3.54	Diabetes mellitus: HR 3.04
						HR 1.00 (reference)	[2.11-5.94]	[1.85-5.01]
						CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1:	Vascular disease: HR 2.10	Vascular disease: HR 2.21
						Heart failure: HR 2.40 [0.58-9.98]	[1.30-3.40]	[1.45-3.37]
						Hypertension: HR 2.92 [1.72-4.96]	Female sex: HR 1.18 [0.88-	Female sex: HR 1.16 [0.90-
						Age 65-74 years: HR 3.54 [2.33-5.38]	1.57]	1.50]
						Diabetes mellitus: HR 4.77 [2.01-		
						11.31]		
						Vascular disease: HR 1.21 [0.37-3.93]		
						Female sex: HR 1.47 [0.88-2.44]		
Chao et	Observational	Non-anticoagulated AF	AF males with	AF males with	5.2 years +/-	Ischemic stroke:		
al. 2015 <sup>2</sup>	retrospective	patients with a single non-	CHA <sub>2</sub> DS <sub>2</sub> -VASc score	CHA <sub>2</sub> DS <sub>2</sub> -VASc	4.3	AF males:		
	nationwide	sex-related stroke risk	1:	score 1:		CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0: HR 1.00 (referen	nce)	
	study	factor, using the National	12 935;	59.1y +/- 11.3		CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1:		
	(Taiwan)	Health Insurance Research	AF females with	AF females		Overall: Event rate 2.75 per 100 PY [2.6		
		Database in Taiwan from	score 2:	with score 2:		Heart failure: Event rate 2.37 per 100 P	2,	-
		1996-2011. Male AF	7900	59.1y +/- 10.2		Hypertension: Event rate 2.18 per 100 P		
		patients with one risk				Age 65-74 years: Event rate 3.50 per 10		
		factor: 38.3% age 65-74y,				Diabetes mellitus: Event rate 2.96 per 1	-	-
		31.5% hypertension, 15.6%				Vascular disease: Event rate 1.96 per 10	00 PY [1.56-2.42]; HR 1.68 [1.3	3-2.12]

Hung et al. 2016 <sup>3</sup>	Observational retrospective nationwide study (Taiwan)	heart failure, 8.5% diabetes, 6.1% vascular disease; female AF patients with one risk factor: 34.9% hypertension, 33.4% age 65-74y, 17.0% heart failure, 8.9% diabetes, 5.8% vascular disease.  Non-anticoagulated AF patients with a single nonsex-related stroke risk factor, using the National Health Insurance Research Database in Taiwan from 1996-2003, stratified into 3 age groups: 20-49, 50-64 and 65-74 years old.	Age 20-49y: 3674 no risk factors, 1852 one risk factor (766 heart failure, 705 hypertension, 224 diabetes, 157 vascular disease) Age 50-64y: 4301 no risk factors, 4561 one risk factor (1350 heart failure, 2230 hypertension, 679 diabetes, 302 vascular disease) Age 65-74y: 5422 one risk factor (age)	NR	Age 20-49y: 36 942.2 PY in patients with no risk factors, 15 838.9 PY in patients with one risk factor Age 50-64y: 37 265.0 PY in patients with no risk factors, 9535.9 PY in patients with one risk factor Age 65-74y: 33 727.0 PY	AF females: CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1: HR 1.00 (reference) CHA <sub>2</sub> DS <sub>2</sub> -VASc score 2:  Overall: Event rate 2.55 per 100 PY [2.41-2.70]; HR 2.25 [2.02-2.50]  Heart failure: Event rate 2.22 per 100 PY [1.91-2.57]; HR 1.98 [1.67-2.35]  Hypertension: Event rate 1.91 per 100 PY [1.70-2.14]; HR 1.71 [1.48-1.98]  Age 65-74 years: Event rate 3.34 per 100 PY [3.06-3.64]; HR 3.03 [2.68-3.43]  Diabetes mellitus: Event rate 2.88 per 100 PY [2.37-3.47]; HR 2.66 [2.16-3.27]  Vascular disease: Event rate 2.25 per 100 PY [1.72-2.91]; HR 2.15 [1.64-2.82]  Ischemic stroke:  20-49 years: CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0 (male) or 1 (female): Event rate 0.63 per 100 PY; HR 1.00 (reference) CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1 (male) or 2 (female): Overall: Event rate 1.33 per 100 PY; HR 2.12 [1.76-2.55]  Heart failure: Event rate 1.69 per 100 PY; HR 2.67 [2.11-3.38]  Hypertension: Event rate 1.09 per 100 PY; HR 1.59 [1.21-2.09]  Diabetes mellitus: Event rate 1.09 per 100 PY; HR 1.59 [1.21-2.09]  Diabetes mellitus: Event rate 1.07 per 100 PY; HR 2.53 [1.73-3.70]  Vascular disease: Event rate 1.07 per 100 PY; HR 1.72 [1.03-2.85]  50-64 years: CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0 (male) or 2 (female): Overall: Event rate 2.90 per 100 PY, HR 1.51 [1.37-1.66]  Heart failure: Event rate 2.81 per 100 PY; HR 1.46 [1.31-1.64]  Diabetes mellitus: Event rate 2.81 per 100 PY; HR 1.46 [1.31-1.64]  Diabetes mellitus: Event rate 4.12 per 100 PY; HR 2.17 [1.84-2.56]  Vascular disease: Event rate 1.94 per 100 PY; HR 1.02 [0.77-1.35]
						65-74 years: CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1 (male) or 2 (female): Age 65-74 years: Event rate 3.60 per 100 PY; HR 1.90 [1.73-2.08] compared to age 50-64y; HR 5.87 [5.10-6.76] compared to age 20-49y
Joundi et al. 2016 <sup>4</sup>	Meta-analysis	Meta-analysis of 10 studies, reporting the risk of ischemic stroke for non-anticoagulated AF patients with a CHA <sub>2</sub> DS <sub>2</sub> -VASc score or 0, 1 or 2.	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0: 109 197 PY; Score 1: 166 017 PY; Score 2: 133 298 PY	NR	Score 0: 109 197 PY; Score 1: 166 017 PY; Score 2: 133 298 PY	Ischemic stroke:  CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0: Event rate 0.68 per 100 PY [0.12-1.23]  CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1: Event rate 1.61 per 100 PY [0.00-3.23]  CHA <sub>2</sub> DS <sub>2</sub> -VASc score 2: Event rate 2.49 per 100 PY [1.16-3.83]

eTable 2: Overview of included studies investigating the impact of a single non-sex-related stroke risk factor on thromboembolic outcomes in atrial fibrillation.

Italic: significantly higher risk.

AF: atrial fibrillation; CI: confidence interval; HR hazard ratio; IQR: interquartile range; NR: not reported; PY: person-years; SD: standard deviation; Stroke/SE: stroke/systemic embolism; y. year.

Supplemental material

Author	Study design	Study cohort	n	Mean/median age (years +/- SD; [IQR])	Mean/median follow-up (+/- SD; [IQR])	Thromboembolism (Event rate [95% CI] and/or HR [95% CI])	Major bleeding (Event rate)	Intracranial bleeding (Event rate)	All-cause mortality (Event rate)	Other
Olesen et al. 2011 <sup>1</sup>	Observational retrospective nationwide study (Denmark)	Hospital-discharged AF patients in Denmark (1997-2006), using the national patient registry. Risk of thromboembolism using VKAs versus no OAC in patients with CHA2DS2-VASc score of 0 or 1.	73 538 overall, 6369 with CHA <sub>2</sub> DS <sub>2</sub> - VASc score 0, 8203 with CHA <sub>2</sub> DS <sub>2</sub> - VASc score 1	<65 years old: 15 130 (20.5%); 65-74 years old: 14 544 (19.8%); ≥75 years old: 43 864 (59.7%) (mean/median age NR)	Up to 10 years of follow-up (maximum duration, no mean/median follow-up reported)	Stroke/SE/pulmonary embolism: CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0: No OAC: 0.78 per 100 PY [0.58-1.04] VKA: 0.81 per 100 PY [0.56-1.17] CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1: No OAC: 2.01 per 100 PY [1.70-2.36] VKA: 1.23 per 100 PY [0.98-1.56] (event rates, risk estimates NR)	NR	NR	NR	NR
Lip et al. 2015 <sup>5</sup>	Observational retrospective nationwide study (Denmark)	AF patients with no or one non-sex-related stroke risk factor using the national patient registry, net clinical benefit (based on stroke, major extracranial bleeding, intracranial bleeding and myocardial infarction, weighted for the risk of death following an event) of VKA versus no OAC.	No risk factor: 23 219 no OAC, 1563 warf; Single risk factor: 20 103 no OAC, 2262 warf	60y [52-66] no OAC, 62y [57-68] warf	5.77y +/- 4.47 no OAC, 4.72y +/- 4.51 warf	Ischemic stroke: No stroke risk factors: After 1y of follow-up: No OAC: 0.75 per 100 PY Warf: 0.53 per 100 PY After 5y of follow-up: No OAC: 0.55 per 100 PY Warf: 0.54 per 100 PY One non-sex-related stroke risk factor: After 1y of follow-up: No OAC: 1.78 per 100 PY Warf: 1.15 per 100 PY After 5y of follow-up: No OAC: 1.34 per 100 PY Warf: 0.96 per 100 PY (event rates, risk estimates NR)	Extracranial bleeding: No risk factors: After 1y: No OAC: 1.22 per 100 PY Warf: 1.41 per 100 PY After 5y: No OAC: 1.02 per 100 PY Warf: 1.05 per 100 PY One risk factor: After 1y: No OAC: 2.51 per 100 PY Warf: 1.91 per 100 PY After 5y: No OAC: 1.92 per 100 PY Warf: 1.90 per 100 PY	No risk factors: After 1y: No OAC: 0.27 per 100 PY Warf: 0.09 per 100 PY After 5y: No OAC: 0.15 per 100 PY Warf: 0.19 per 100 PY One risk factor: After 1y: No OAC: 0.48 per 100 PY Warf: 0.57 per 100 PY After 5y: No OAC: 0.35 per 100 PY Warf: 0.40 per 100 PY	No risk factors:     After 1y:     No OAC: 4.21 per     100 PY     Warf: 1.93 per     100 PY     After 5y:     No OAC: 2.18 per     100 PY     Warf: 1.26 per     100 PY     One risk factor:     After 1y:     No OAC: 9.67 per     100 PY     Warf: 4.40 per     100 PY     After 5y:     No OAC: 5.76 per     100 PY     Warf: 3.15 per     100 PY	Net clinical benefit*: No risk factors: After 1y: Warf vs no OAC: 0.59 [-0.19; 1.38] After 5y: Warf vs no OAC: -0.11 [-0.54; 0.32] One risk factor: After 1y: Warf vs no OAC: 1.68 [0.63; 2.74] After 5y: Warf vs no OAC: 0.59 [0.11; 1.08]

Friberg	Observational	AF patients (53%	Overall:	Overall:	1.5 y +/- 1.1	<u>Ischemic</u>	NR	NR	NR	Net clinical
et al.	retrospective	male) included	68 306	78.4y +/- 12.6	(260 000 PY)	stroke/intracranial				benefit**:
2012 <sup>6</sup>	nationwide	from the Swedish	warf,	no OAC;		bleeding/death:				CHA <sub>2</sub> DS <sub>2</sub> -VASc score
	study	Hospital Discharge	90 706 no	73.8y +/- 10.2		CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0:				0:
	(Sweden)	Register, stratified	OAC	warf		Warf vs no OAC:				Warf vs no OAC:
		according to the	(NR for no	(NR for no		HR 0.74 [0.58-0.93]				0.0 [-0.1, 0.1]
		CHA <sub>2</sub> DS <sub>2</sub> -VASc	versus	versus single						
		score. Net clinical	single	stroke risk		CHA2DS2-VASc score 1:				CHA <sub>2</sub> DS <sub>2</sub> -VASc score
		benefit (based on	stroke	factor)		Warf vs no OAC:				1:
		ischemic stroke	risk			HR 0.50 [0.43-0.57]				Warf vs no OAC:
		versus intracranial	factor)							0.3 [0.1-0.4]
		bleeding) and								
		adjusted								
		composite risk of								
		ischemic stroke,								
		intracranial								
		bleeding and								
		death, of warfarin								
		versus no OAC.								
Fauchier	Observational	AF patients (30%	No risk	55y +/- 14	979 days +/-	Stroke/SE/death:	NR	NR	NR	NR
et al.	prospective	female) with no or	factor:	overall;	1158	No stroke risk factors:				
2016 <sup>7</sup>	cohort study	one non-sex-	1078 (453	50y +/- 15 no		VKA vs no OAC:				
	(France)	related stroke risk	VKA use	OAC;		HR 0.68 [0.35-1.31]				
		factor included in	(42%))	58y +/- 11 VKA						
		the Loire Valley AF	Single risk	(NR for no		One non-sex-related				
		Project. Risk of	factor:	versus single		stroke risk factor:				
		stroke/SE/death	1099 (600	stroke risk		VKA vs no OAC:				
		using VKAs versus	VKA use	factor)		HR 0.59 [0.40-0.86]				
		no OAC, adjusted	(55%))							
		for age and sex.								
Eckman	Markov state	Ischemic stroke	NR	NR	NR	NR	NR	NR	NR	<u>Treatment threshold:</u>
et al.	transition	rates derived from								Warf: Ischemic stroke
20118	decision	the ATRIA cohort								rate >1.7%/y
	model	and RE-LY trial								NOAC: ischemic
		(dabi)								stroke rate >0.9%/y

#### eTable 3: Overview of included studies investigating the role of anticoagulation versus no anticoagulation in case of a single non-sex-related stroke risk factor.

**Bold**: significantly lower risk.

AF: atrial fibrillation; Api: apixaban; CI: confidence interval; Dabi: dabigatran; HR hazard ratio; IQR: interquartile range; NCB: net clinical benefit; NOAC: non-vitamin K antagonist oral anticoagulant; NR: not reported; OAC: oral anticoagulant; PY: person-years; RCT: randomized controlled trial; Riva: rivaroxaban; SD: standard deviation; Stroke/SE: stroke/systemic embolism; VKA: vitamin K antagonist; Warf: warfarin; y: year.

<sup>\*</sup> Net clinical benefit (NCB)<sup>5</sup>: Calculated as the weighted sum of differences in outcome rates between non-anticoagulated AF patients and VKA-treated AF patients. Outcomes of interest were ischemic stroke, major extracranial bleeding, intracranial bleeding and myocardial infarction. Weights were estimated based on the adjusted risk for death after occurrence of these outcomes during five years of follow-up. A positive NCB represents an advantage for treatment.

<sup>\*\*</sup> Net clinical benefit (NCB)<sup>6</sup>: The risk for ischemic stroke without warfarin use minus the risk of intracranial bleeding with warfarin use.

eTable 4: Effectiveness and safety of NOACs as compared to VKAs in case of a single non-sex-related stroke risk factor

Author	Study design	Study cohort	n	Mean/median age (years +/- SD; [IQR])	Mean/median follow-up (+/- SD; [IQR])	Stroke/SE (HR [95% CI])	Major bleeding (HR [95% CI])	Intracranial bleeding (HR [95% CI])	All-cause mortality (HR [95% CI])
Oldgren et al. 2011 <sup>9</sup>	Phase III RCT (worldwide)	AF patients included in the RE-LY trial (dabi vs warf), categorized according to <b>CHADS₂ score 0-1</b> , 2 and 3-6. CHADS₂ score 0-1 driven by hypertension in 58.8%, age ≥75 years in 18.1%, heart failure in 12.5% and diabetes mellitus in 2.8%. Industry-sponsored.	CHADS₂0: 452; CHADS₂1: 5323;	CHADS <sub>2</sub> 0-1: 69.5y +/- 7.4;	2 years (overall, NR for CHADS <sub>2</sub> 0-1 group)	CHADS <sub>2</sub> 0-1: Dabi 150 vs warf: 0.61 [0.37-0.99] Dabi 110 vs warf: 0.98 [0.63-1.51]	CHADS₂ 0-1:  Dabi 150 vs warf:  0.74 [0.56-0.99]  Dabi 110 vs warf:  0.65 [0.49-0.88]	CHADS <sub>2</sub> 0-1: Dabi 150 vs warf: 0.37 [0.16-0.84] Dabi 110 vs warf: 0.37 [0.16-0.83]	CHADS₂ 0-1: Dabi 150 vs warf: 0.73 [0.54-0.98] Dabi 110 vs warf: 0.88 [0.66-1.16]
Lopes et al. 2012 <sup>10</sup>	Phase III RCT (worldwide)	AF patients included in the ARISTOTLE trial (api vs warf), categorized according to CHADS₂ or CHA <sub>2</sub> DS₂-VASc score 1, 2 or ≥3. CHADS₂ score 1 driven by hypertension in 77.3%, heart failure in 16.1%, age ≥75 years in 9.3% and diabetes in 3.2%. CHA <sub>2</sub> DS₂-VASc score 1 also included female sex as single stroke risk factor. Industrysponsored.	CHADS <sub>2</sub> 1: 6183 overall (3100 api, 3083 warf); CHA <sub>2</sub> DS <sub>2</sub> - VASc 1: 1604 overall	CHADS <sub>2</sub> 1: 67.0y [60-71] (overall, no separate results in CHA <sub>2</sub> DS <sub>2</sub> -VASc or HAS-BLED score groups)	1.8 years [1.4-2.3] (overall, no separate results in CHADS <sub>2</sub> score groups)	CHADS <sub>2</sub> 1: <u>Api vs warf:</u> 0.85 [0.57-1.27]  CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1: <u>Api vs warf:</u> 1.18 [0.46-2.89]	CHADS <sub>2</sub> 1: Api vs warf: 0.59 [0.44-0.78] CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1: Api vs warf: 0.65 [0.31-1.37]	CHADS <sub>2</sub> 1: Api vs warf: 0.45 [0.24-0.82] CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1: Api vs warf: 0.55 [0.13-2.29]	CHADS <sub>2</sub> 1: <u>Api vs warf:</u> 0.96 [0.76-1.22]  CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1: <u>Api vs warf:</u> 0.82 [0.50-1.35]
Lega et al. 2014 <sup>11</sup>	Meta-analysis	Pooling of results in AF patients with a CHADS <sub>2</sub> score of 0-1 from the RE-LY and ARISTOTLE trial. NOAC (dabi 150, dabi 110, api) vs warfarin.	CHADS <sub>2</sub> 0-1: 11 958 overall	NR	NR	CHADS <sub>2</sub> 0-1: NOAC vs warf: RR 0.83 [0.64, 1.07]	CHADS₂ 0-1: NOAC vs warf: RR 0.67 [0.57-0.79]	NR	NR
Coleman et al. 2019 <sup>12</sup>	Observational retrospective nationwide study (U.S.A.)	AF patients with a single non-sex-related stroke risk factor from administrative claims database, OAC-naïve patients initiating rivaroxaban 20 mg or warfarin (1:1 PSM). Hypertension in 68.3% of patients, age 65-74 years in 19.1%, diabetes in 6.1% and heart failure in 5.1%. Industrysponsored.	CHA <sub>2</sub> DS <sub>2</sub> - VASc score 1 (men) or 2 (women): 3319 riva, 3319 warf (1:1 PSM)	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1 (men) or 2 (women): 60y [55-64] riva, 60y [56-64] warf	1.6 years [0.7-2.0]	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1 (men) or 2 (women): After 1y of follow-up: Riva vs warf: 0.41 [0.17-0.98] After 2y: Riva vs warf: 0.46 [0.23-0.92]	Score 1 (men) or 2 (women): After 1y: Riva vs warf: 0.74 [0.44-1.26] After 2y: Riva vs warf: 0.65 [0.42-1.02]	Score 1 (men) or 2 (women): After 1y: Riva vs warf: 0.33 [0.03-3.17] After 2y: Riva vs warf: 0.14 [0.02-1.11]	NR
Lip et al. 2017 <sup>13</sup>	Observational retrospective nationwide study	AF patients with a single non-sex- related stroke risk factor using the national patient registry, OAC-naïve, standard dose NOACs	CHA <sub>2</sub> DS <sub>2</sub> - VASc score 1 (men) or 2 (women):	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1 (men) or 2 (women):	2.6 years +/- 1.6 overall,	CHA <sub>2</sub> DS <sub>2</sub> -VASc score 1 (men) or 2 (women): After 1y follow-up: Dabi 150 vs warf:	Score 1 (men) or 2 (women): After 1y: Dabi 150 vs warf:	NR	Score 1 (men) or 2 (women): After 1y: Dabi 150 vs warf:

(Denmark)	(dabi 150 mg, riva 20 mg and api	14 020	66.2y [61.3-69.8]	1.1 years +/-	0.81 [0.49-1.34]	0.48 [0.30-0.77]	0.59 [0.43-0.81]
	5 mg) vs warf. Age 65-74 years in	overall:	dabi,	0.7 api,	Riva 20 vs warf:	Riva 20 vs warf:	Riva vs warf:
	59.3% of patients, hypertension	3272 dabi,	67.2y [62.4-70.7]	otherwise NR	1.46 [0.79-2.70]	0.84 [0.49-1.44]	0.52 [0.34-0.79]
	in 31.7%, diabetes in 3.2%,	1604 riva,	riva,		Api 5 vs warf:	Api 5 vs warf:	Api vs warf:
	vascular disease in 3.1% and	1470 api,	67.4y [62.5-70.9]		1.01 [0.51-2.01]	0.35 [0.17-0.72]	0.47 [0.29-0.76]
	heart failure in 2.6%.	7674 warf	api,		After 2.5y:	After 2.5y:	After 2.5y:
			66.2y [60.5-70.4]		Dabi 150 vs warf:	Dabi 150 vs warf:	Dabi 150 vs warf:
			warf		0.84 [0.58-1.21]	0.49 [0.35-0.69]	0.60 [0.47-0.76]
					Riva 20 vs warf:	Riva 20 vs warf:	Riva vs warf:
					1.08 [0.63-1.87]	0.75 [0.47-1.20]	0.80 [0.58-1.10]
					Api 5 vs warf:	Api 5 vs warf:	Api vs warf:
					1.09 [0.60-1.99]	0.37 [0.20-0.69]	0.45 [0.29-0.70]

# <u>eTable 4:</u> Overview of included studies investigating the effectiveness and safety of NOACs as compared to VKAs in case of a single non-sex-related stroke risk factor <u>Bold</u>: significantly lower risk.

AF: atrial fibrillation; Api: apixaban; Api 5: apixaban 5 mg (standard dose); CI: confidence interval; Dabi: dabigatran 110 mg (reduced dose); Dabi 150: dabigatran 150 mg (standard dose); HR hazard ratio; IQR: interquartile range; NOAC: non-vitamin K antagonist oral anticoagulant; NR: not reported; OAC: oral anticoagulant; PSM: propensity score matching; RCT: randomized controlled trial; Riva: rivaroxaban; Riva 20: rivaroxaban 20 mg (standard dose); SD: standard deviation; Stroke/SE: stroke/systemic embolism; U.S.A.: United States of America; VKA: vitamin K antagonist; Warf: warfarin; y: year.

# eTable 5: Assessment of bias within studies on the impact of a single stroke risk factor

# A)

Refe	erence: Olesen et al. 2011¹				
Crit	eria	Yes (2)	Partial (1)	No (0)	N/A
1	Question / objective sufficiently described?	2			
2	Study design evident and appropriate?	2			
3	Method of subject/comparison group selection or source of information/input variables described and appropriate?	2			
4	Subject and comparison group (if applicable) characteristics sufficiently described?		1 (prospective observational study with baseline characteristics reported for included non-anticoagulated AF cohort, but not specifically compared in subgroup with CHA <sub>2</sub> DS <sub>2</sub> -VASc score 0, 1 or 2)		
5	If interventional and random allocation was possible, was it reported?				N/A
6	If interventional and blinding of investigators was possible, was it reported?				N/A
7	If interventional and blinding of subjects was possible, was it reported?				N/A
8	Outcome and (if applicable) exposure measure(s) well defined and robust to measurement / misclassification bias? Means of assessment reported?		1 (outcome measures retrospectively assessed in administrative healthcare claims database using ICD-codes, which are prone to misclassification bias)		
9	Sample size appropriate?	2			
10	Analytic methods described/justified and appropriate?	2			
11	Some estimate of variance is reported for the main results?	2			
12	Controlling for confounding?		1 (only adjusted for antiplatelet use; due to retrospective use of administrative healthcare claims database, unmeasured confounders and biases (such as confounding by indication) may still be present)		
13	Results reported in sufficient detail?	2			
14	Conclusion supported by the results?	2			
Tota	al score: 19/22 (86.4%)				

B)

Refe	erence: Chao et al. 2015 <sup>2</sup>				
Crit	eria	Yes (2)	Partial (1)	No (0)	N/A
1	Question / objective sufficiently described?	2			
2	Study design evident and appropriate?	2			
α	Method of subject/comparison group selection or source of information/input variables described and appropriate?	2			
4	Subject and comparison group (if applicable) characteristics sufficiently described?		1 (only description of mean age, sex and baseline prevalence of CHA <sub>2</sub> DS <sub>2</sub> -VASc risk factor components in male and female nonanticoagulated AF cohort)		
5	If interventional and random allocation was possible, was it reported?				N/A
6	If interventional and blinding of investigators was possible, was it reported?				N/A
7	If interventional and blinding of subjects was possible, was it reported?				N/A
8	Outcome and (if applicable) exposure measure(s) well defined and robust to measurement / misclassification bias? Means of assessment reported?		1 (outcome measures retrospectively assessed in administrative healthcare claims database using ICD-codes, which are prone to misclassification bias)		
9	Sample size appropriate?	2			
10	Analytic methods described/justified and appropriate?	2			
11	Some estimate of variance is reported for the main results?	2			
12	Controlling for confounding?		(only adjusted for sex; due to retrospective use of administrative healthcare claims database, unmeasured confounders and biases (such as confounding by indication) may still be present)		
13	Results reported in sufficient detail?	2			
14	Conclusion supported by the results?	2			
Tota	al score: 19/22 (86.4%)				

C)

Ref	erence: Hung et al. 2016³				
Crit	eria	Yes (2)	Partial (1)	No (0)	N/A
1	Question / objective sufficiently described?	2			
2	Study design evident and appropriate?	2			
3	Method of subject/comparison group selection or source of information/input variables described and appropriate?	2			
4	Subject and comparison group (if applicable) characteristics sufficiently described?		1 (only description of baseline prevalence of CHA2DS2-VASc risk factor components in nonanticoagulated AF cohort, stratified according to age 20-49, 50-64 and 65-74 years old)		
5	If interventional and random allocation was possible, was it reported?				N/A
6	If interventional and blinding of investigators was possible, was it reported?				N/A
7	If interventional and blinding of subjects was possible, was it reported?				N/A
8	Outcome and (if applicable) exposure measure(s) well defined and robust to measurement / misclassification bias? Means of assessment reported?		(outcome measures retrospectively assessed in administrative healthcare claims database using ICD- codes, which are prone to misclassification bias)		
9	Sample size appropriate?	2			
10	Analytic methods described/justified and appropriate?	2			
11	Some estimate of variance is reported for the main results?	2			
12	Controlling for confounding?		1 (only stratified according to age; due to retrospective use of administrative healthcare claims database, unmeasured confounders and biases (such as confounding by indication) may still be present)		
13	Results reported in sufficient detail?	2			
14	Conclusion supported by the results?	2			
Tota	al score: 19/22 (86.4%)				

<u>eTable 5:</u> Assessment of bias within studies included in the first meta-analysis regarding the impact of a single non-sex-related stroke risk factor on ischemic stroke risk in non-anticoagulated atrial fibrillation patients (A-C: 3 longitudinal observational cohort studies), using the quality assessment tool 'QUALSYST' from the "Standard Quality Assessment Criteria for Evaluating Primary Research Papers from a Variety of Fields" was used. With this tool, 14 items of each quantitative study, were scored on the study and outcome levels depending on the degree to which the specific criteria were met or reported ("yes" = 2, "partial" = 1, "no" = 0). Items not applicable to a particular study design were marked "n/a" and were excluded from the calculation of the summary score. A percentage was calculated for each paper by dividing the total sum score obtained across rated items by the total possible score.

AF: atrial fibrillation; ICD: International Classification of Diseases.

# eTable 6: Assessment of bias within studies on the effectiveness and safety of NOACs versus VKAs in patients with a single stroke risk factor

A)

Ref	erence: Lopes et al. 2012 <sup>10</sup>				
Crit	Criteria		Partial (1)	No (0)	N/A
1	Question / objective sufficiently described?	2			
2	Study design evident and appropriate?	2			
3	Method of subject/comparison group selection or source of information/input variables described and appropriate?	2			
4	Subject and comparison group (if applicable) characteristics sufficiently described?		1 (randomized study with description of baseline characteristics for NOAC- and VKA-treated cohort according to CHADS₂ score 1, 2 or ≥3, but not specifically compared between NOAC and VKA, nor according to CHA₂DS₂-VASc score 0, 1 or 2)		
5	If interventional and random allocation was possible, was it reported?	2			
6	If interventional and blinding of investigators was possible, was it reported?	2			
7	If interventional and blinding of subjects was possible, was it reported?	2			
8	Outcome and (if applicable) exposure measure(s) well defined and robust to measurement / misclassification bias? Means of assessment reported?	2			
9	Sample size appropriate?	2			
10	Analytic methods described/justified and appropriate?	2			
11	Some estimate of variance is reported for the main results?	2			
12	Controlling for confounding?	2			
13	Results reported in sufficient detail?	2			
14	Conclusion supported by the results?	2			
Tot	al score: 27/28 (96.4%)				

B)

Refe	Reference: Coleman et al. 2019 <sup>12</sup>						
Crit	Criteria		Partial (1)		N/A		
1	Question / objective sufficiently described?	2					
2	Study design evident and appropriate?	2					
α	Method of subject/comparison group selection or source of information/input variables described and appropriate?	2					
4	Subject and comparison group (if applicable) characteristics sufficiently described?	2					
5	If interventional and random allocation was possible, was it reported?				N/A		
6	If interventional and blinding of investigators was possible, was it reported?				N/A		
7	If interventional and blinding of subjects was possible, was it reported?				N/A		
8	Outcome and (if applicable) exposure measure(s) well defined and robust to measurement / misclassification bias? Means of assessment reported?		1 (outcome measures retrospectively assessed in administrative healthcare claims database using ICD-codes, which are prone to misclassification bias)				
9	Sample size appropriate?	2					
10	Analytic methods described/justified and appropriate?	2					
11	Some estimate of variance is reported for the main results?	2					
12	Controlling for confounding?		1 (adequately adjusted for predefined set of covariates using propensity score matching, but due to retrospective use of administrative healthcare claims database, unmeasured confounders and biases (such as confounding by indication) may still be present)				
13	Results reported in sufficient detail?	2					
14	Conclusion supported by the results?	2					
Tota	al score: 20/22 (90.9%)						

C)

Ref	Reference: Lip et al. 2017 <sup>13</sup>						
Crit	Criteria		Partial (1)	No (0)	N/A		
1	Question / objective sufficiently described?	2					
2	Study design evident and appropriate?	2					
3	Method of subject/comparison group selection or source of information/input variables described and appropriate?	2					
4	Subject and comparison group (if applicable) characteristics sufficiently described?	2					
5	If interventional and random allocation was possible, was it reported?				N/A		
6	If interventional and blinding of investigators was possible, was it reported?				N/A		
7	If interventional and blinding of subjects was possible, was it reported?				N/A		
8	Outcome and (if applicable) exposure measure(s) well defined and robust to measurement / misclassification bias? Means of assessment reported?		1 (outcome measures retrospectively assessed in administrative healthcare claims database using ICD-codes, which are prone to misclassification bias)				
9	Sample size appropriate?	2					
10	Analytic methods described/justified and appropriate?	2					
11	Some estimate of variance is reported for the main results?	2					
12	Controlling for confounding?		1 (adequately adjusted for predefined set of covariates using inverse probability of treatment weighted analysis, but due to retrospective use of administrative healthcare claims database, unmeasured confounders and biases (such as confounding by indication) may still be present)				
13	Results reported in sufficient detail?	2					
14	Conclusion supported by the results?	2					
Tota	al score: 20/22 (90.9%)						

<u>eTable 6:</u> Assessment of bias within studies included in the second meta-analysis regarding the effectiveness and safety of NOACs versus VKAs in atrial fibrillation patients with a single stroke risk factor (A: 1 post hoc analysis of randomized controlled trial; B-C: 2 longitudinal observational cohort studies), using the quality assessment tool 'QUALSYST' from the "Standard Quality Assessment Criteria for Evaluating Primary Research Papers from a Variety of Fields" was used.<sup>14</sup>

AF: atrial fibrillation; ICD: International Classification of Diseases.

### eTable 7: PRISMA 2009 checklist

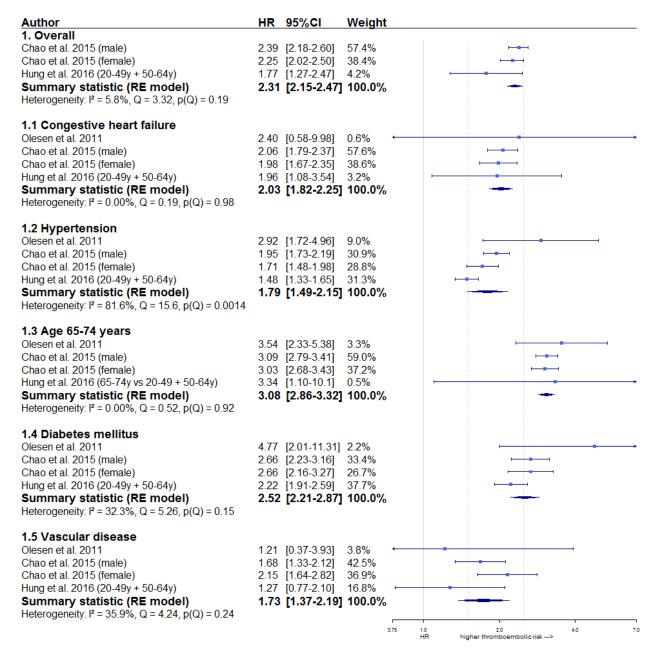
Section/topic	#	Checklist item	Reported on page #
TITLE			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria,	2
		participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications	
		of key findings; systematic review registration number.	
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons,	4-5
		outcomes, and study design (PICOS).	
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide	Not
		registration information including registration number.	applicable
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language,	5
		publication status) used as criteria for eligibility, giving rationale.	
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional	5
		studies) in the search and date last searched.	
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	eTable 1
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	5
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at	6
studies		the study or outcome level), and how this information is to be used in any data synthesis.	
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	5-6
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g.,	6
		I <sup>2</sup> ) for each meta-analysis.	

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	6
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	8
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	5 + Figure 1
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	eTable 2-4
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	eTable 5-6
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	eTable 2-4
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	8, 10 + Figure 2-3
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	8, 10 + eFigure 3-4
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	8 + eFigure 1
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	11
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	12-13
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	13-14
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	16

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097 For more information, visit: www.prisma-statement.org.

# Supplemental figures

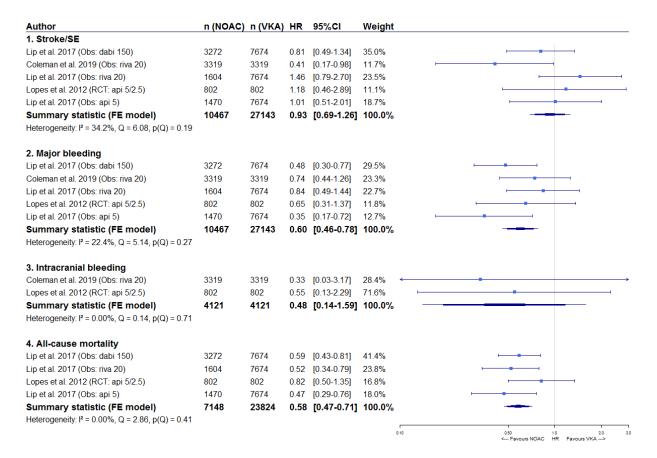
eFigure 1: Sensitivity analysis on the impact of a single non-sex-related stroke risk factor after first pooling the results of the study by Hung et al.



<u>eFigure 1:</u> Sensitivity analysis on the impact of a single non-sex-related stroke risk factor on the ischemic stroke risk in non-anticoagulated atrial fibrillation patients (CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 1 in men or 2 in women), represented by hazard ratios as compared to AF patients without stroke risk factors (CHA<sub>2</sub>DS<sub>2</sub>-VASc score 0 in men or 1 in women) (reference group), after first pooling the risk estimates of 20-49 and 50-64 year old patients included in the study of Hung et al., and then subsequently pooling with the results of the other two included studies.

AF: atrial fibrillation; CI: confidence interval; HR: hazard ratio; RE model: random effects model; y: year.

eFigure 2: The effectiveness and safety of NOACs versus warfarin in AF patients with a single stroke risk factor, using a fixed effects model

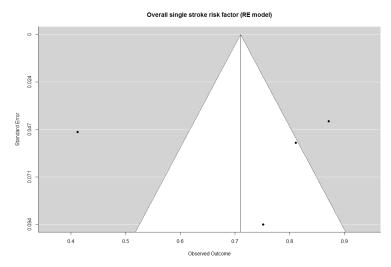


<u>eFigure 2:</u> The risk of stroke/systemic embolism, major bleeding, intracranial bleeding and all-cause mortality of NOACs as compared to warfarin in atrial fibrillation patients with a single stroke risk factor (CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 1 in men or 2 in women), using a fixed effects model (instead of random effects model)

Api 5/2.5: apixaban 5 mg (standard dose) or 2.5 mg (reduced dose); Api 5: apixaban 5 mg (standard dose); CI: confidence interval; Dabi 150: dabigatran 150 mg (standard dose); FE model: fixed effects model; HR: hazard ratio; NOAC: non-vitamin K antagonist oral anticoagulant; Obs: longitudinal observational cohort study; RCT: randomized controlled trial (post hoc analysis); Riva 20: rivaroxaban 20 mg (standard dose); Stroke/SE: stroke/systemic embolism

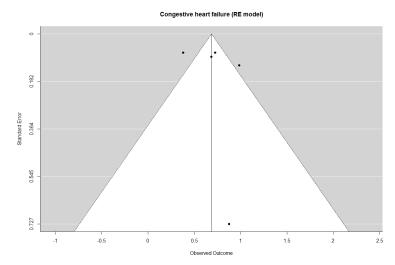
## eFigure 3: Assessment of publication bias in studies on the impact of a single stroke risk factor



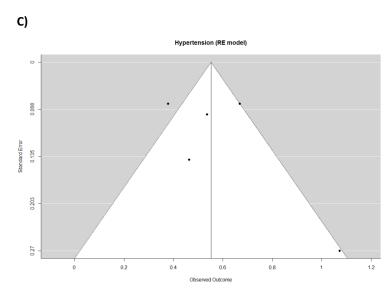


**Egger's test:** test for funnel plot asymmetry: z = 0.14, p = 0.89

### B)

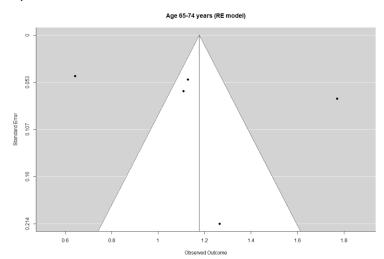


**Egger's test:** test for funnel plot asymmetry: z = 0.54, p = 0.59

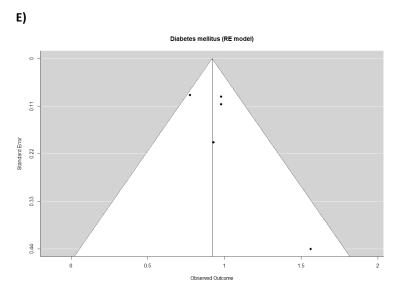


Egger's test: test for funnel plot asymmetry: z = 1.39, p = 0.17

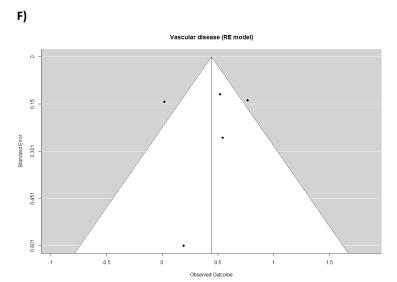




**Egger's test:** test for funnel plot asymmetry: z = 0.44, p = 0.66



Egger's test: test for funnel plot asymmetry: z = 1.43, p = 0.15

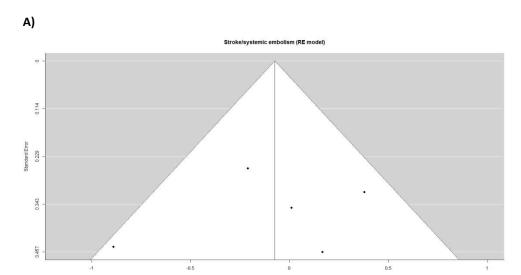


**Egger's test:** test for funnel plot asymmetry: z = -0.30, p = 0.76

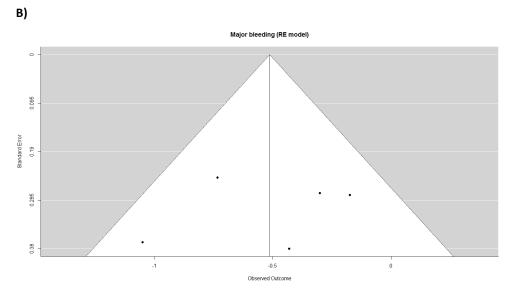
<u>eFigure 3:</u> Funnel plot and Egger's test for assessment of potential publication bias for studies on the impact of **A)** any single non-sex-related stroke risk factor, **B)** congestive heart failure, **C)** hypertension, **D)** age 65-74 years old, **E)** diabetes mellitus, and **F)** vascular disease, in non-anticoagulated atrial fibrillation patients as compared to atrial fibrillation patients without stroke risk factors (CHA<sub>2</sub>DS<sub>2</sub>-VASc score 0 in men, 1 in women).

RE model: random effects model.

# eFigure 4: Assessment of publication bias in studies on the effectiveness and safety of NOACs versus VKAs in patients with a single stroke risk factor

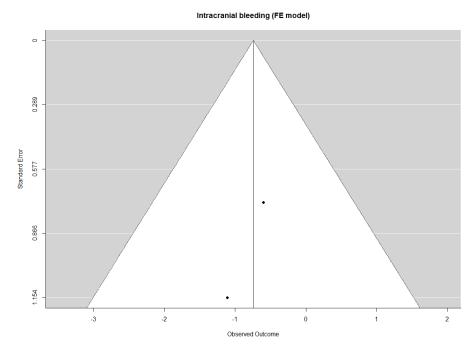


Egger's test: test for funnel plot asymmetry: z = -0.51, p = 0.61



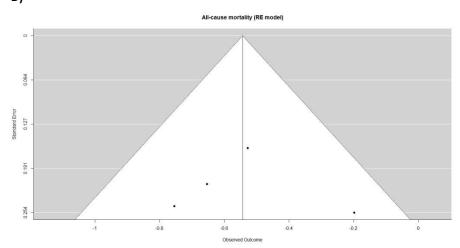
**Egger's test:** test for funnel plot asymmetry: z = -0.50, p = 0.62





Egger's test: test for funnel plot asymmetry (fixed effects model): z = -0.37, p = 0.71





Egger's test: test for funnel plot asymmetry: z = 0.22, p = 0.83

<u>eFigure 4:</u> Funnel plot and Egger's test for assessment of potential publication bias for studies on the risk of **A**) stroke/systemic embolism, **B**) major bleeding, **C**) intracranial bleeding, and **D**) all-cause mortality of NOACs as compared to warfarin in atrial fibrillation patients with a single stroke risk factor, based on a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 1 in men or 2 in women.

FE model: fixed effects model; RE model: random effects model.

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